Humans as a Multi-Planetary Species

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By talking about the Space X Mars architecture, I want to make Mars seem possible—make it seem as though it is something that we can do in our lifetime. There really is away that anyone could go if they wanted to.

1. Why Go Anywhere?

WHY GO ANYWHERE? I think there are really two fundamental paths. History is going to bifurcate along two directions. One path is we stay on Earth forever, and then there will be some eventual extinction event. I do not have an immediate doomsday prophecy, but eventually, history suggests, there will be some doomsday event. The alternative is to become a space-bearing civilization and a multi-planetary species, which I hope you would agree is the right way to go. So how do we figure out how to take you to Mars and create a self-sustaining city—a city that is not merely an outpost but which can become a planet in its own right, allowing us to become a truly multi-planetary species (see Fig. 1)?

2. Why Mars?

Sometimes people wonder, "Well, what about other places in the solar system? Why Mars?" Our options for becoming a multi-planetary species within our solar system are limited. We have, in terms of nearby options, Venus, but Venus is a high-pressure-super-high-pressure-hot acid bath, so that would be a tricky one. Venus is not at all like the goddess. So, it would be really difficult to make things work on Venus. Then, there is Mercury, but that is way too close to the sun. We could potentially go onto one of the moons of Jupiter or Saturn, but those are quite far out, much further from the sun, and much harder to get to. It really only leaves us with one option if we want to become a multi-planetary civilization, and that is Mars. We could conceivably go to our moon, and I actually have nothing against going to the moon, but I think it is challenging to become multi-planetary on the moon because it is much smaller than a planet. It does not have any atmosphere. It is not as resource-rich as Mars. It has got a 28-day day, whereas the Mars day is24.5 hours. In general, Mars is far better-suited ultimately to scale up to be a self-sustaining civilization. To give some comparison between the two planets, they are remarkably close in many ways (Table 1). In fact, we now believe that early Mars was a lot like Earth. In effect, if we could warm Mars up, we would once again have a thick atmosphere and liquid oceans. Mars is about half as far again from the sun as Earth is, so it still has decent sunlight. It is a little cold, but we can warm it up. It has a very helpful atmosphere, which, being primarily CO2 with some nitrogen and argon and a few other trace elements, means that we can grow plants on Mars just by compressing the atmosphere. It would be quite fun to be on Mars because you would have gravity that is about 37% of that of Earth, so you would be able to lift heavy things and bound around. Furthermore, the day is remarkably close to that of Earth. We just need to change the populations because currently we have seven billion people on Earth and none on Mars.

3. From Early Exploration to A Self-Sustaining City on Mars

There has been a lot of great work by NASA and other organizations in the early exploration of Mars and understanding what Mars is like. Where could we land? What is the composition of the atmosphere? Where is there water or ice? We need to go from these early exploration missions to actually building a city. The issue that we have today is that if you look at a Venn diagram, there is no intersection of sets-of people who want to go and those who can afford to go (Fig. 2). In fact, right now, you cannot go to Mars for infinite money. Using traditional methods, taking an Apollo-style approach, an optimistic cost would be about \$10 billion per person. Taking the Apollo program as an example, the cost estimates are somewhere between \$100 and \$200 billion in current-year dollars, and we sent 12 people to the surface of the moon, which was an incredible thing-probably one of the greatest achievements of humanity. However, that is a steep price to pay for a ticket.



That is why these circles barely touch (Fig. 3). You cannot create a self-sustaining civilization if the ticket price is \$10 billion per person. What we need to do is to move those circles together (Fig. 4). If we can get the cost of moving to Mars to be roughly equivalent to a median house price in the United States, which is around \$200,000, then I think the probability of establishing a self-sustaining civilization is very high.

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Full reusability to make Mars trips possible on a large enough scale to create a self-sustaining city, full reusability is essential. Full reusability is really the super-hard one. It is very difficult to achieve reusability even for an orbital system, and that challenge becomes substantially greater for a system that has to go to another planet. You could use any form of transport as an example of the difference between reusability and expendability in aircraft. A car, bicycle, horse, if they were single-use-almost no one would use them; it would be too expensive. However, with frequent flights, you can take an aircraft that costs \$90 million and buy a ticket on Southwest right now from Los Angeles to Vegas for \$43, including taxes. If it were single use, it would cost \$500,000 per flight. Right there, you can see an improvement of four orders of magnitude. Now, thisisharder-reusability doesnot apply quite asmuch to I think it would almost certainly occur. Not everyone would want to go. In fact, probably a relatively small number of people from Earth would want to go, but enough would want to go who could afford it for it to happen. People could also get sponsorship. It gets to the point where almost anyone, if they saved up and this was their goal, could buy a ticket and move to Mars—and given that Mars would have a labor shortage for a long time, jobs would not be in short supply.

4. Improving Cost per Ton to Mars by Five Million Percent

It is a bit tricky because we have to figure out how to improve the cost of trips to Mars by five million percent. This translates to an improvement of approximately fourand-a half orders of magnitude. This is not easy. It sounds virtually impossible, but there are ways to do it. These are the key elements that are needed in order to achieve the four-and-a-half orders of magnitude improvement. Most of the improvement would come from full reusability somewhere between two and two-and-a-half orders of magnitude. The other two orders of magnitude would come from refilling in orbit, propellant production on Mars, and choosing the right propellant.



Mars because the number of times that you can reuse the spaceship pod of the system is less often because the Earth–Mars rendezvous only occurs every 26 months. Therefore, you get to use the spaceship part approximately every 2 years.

Refilling in orbit

You would get to use the booster and the tanker frequently. Therefore, it makes sense to load the spaceship into orbit with essentially tanks dry. If it has really big tanks that you use the booster and tanker to refill once in orbit, you can maximize the payload of the spaceship, so when it goes to Mars, you have a very large payload capability.

Hence, refilling in orbit is one of the essential elements of this (Table 2). Without refilling in orbit, you would have

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roughly a half order of magnitude impact on the cost. What that means is that each order of magnitude is a factor of 10. Therefore, not refilling in orbit would mean roughly a 500% increase in the cost per ticket.

Furthermore, it reduces the sensitivity of the performance characteristics of the booster rocket and tanker. So, if there is a shortfall in the performance of any of the elements, you can make up for it by having one or two extra refilling trips to the spaceship. This is very important for reducing the susceptibility of the system to a performance shortfall.

It also allows us to build a smaller vehicle and lower the development cost, although this is still quite big. However, it would be much harder to build something that is 5-10 times the size.



Propellant production on Mars Producing propellant on Mars is obviously also very important (Table 3). Again, if we did not do this, it would have at least a half order of magnitude increase in the cost of a trip. It would be pretty absurd to try to build a city on Mars if your spaceships just stayed on Mars and did not go back to Earth. You would have a massive graveyard of ships; you have to do something with them. It would not really make sense to leave your spaceships on Mars; you would want to build a propellant plant on Mars and send the ships back. Mars happens to work out well for that because it has a CO2 atmosphere, it has water-ice in the soil, and with H2O and CO2, you can produce methane (CH4) and oxygen (O2).

	C ₁₂ H _{22.4} / O ₂ KEROSENE	H2/02 HYDROGENIOXYGEN	CH4 / O2 DEEP-CRYO METHALOX
VEHICLE SIZE	•	•	•
COST OF PROP		•	•
REUSABILITY	•	•	•
MARS PROPELLANT PRODUCTION	×	•	
PROPELLANT TRANSFER	•	•	
GOOD OK BAD VERY BAD			S ac

Right propellant Picking the right propellant is also important. There are three main choices, and they each have their merits (Table 4). First, there is kerosene, or rocket propellant-grade kerosene, essentially a highly refined form of jet fuel. It helps keep the vehicle size small, but because it is a very specialized form of jet fuel, it is quite expensive. Its reusability potential is lower. It would be very difficult to make this on Mars.

5. System Architecture

Figure 5 describes the overall system (for a full simulation, see www.spacex.com/mars). The rocket booster and the spaceship take off and launch the spaceship into orbit. The rocket booster then comes back quite quickly, within about 20 minutes. So, it can actually launch the tanker version of the spacecraft, which is essentially the same as the spaceship but filling up the unpressurized and pressurized cargo areas with propellant tanks. This also helps lower the development cost, which obviously will not be small. Then, the propellant tanker goes up anywhere from three to five times to fill the tanks of the spaceship in orbit. Once the tanks are full, the cargo has been transferred, and we reach the Mars rendezvous timing, which is roughly every 26 months, that is when the ship would depart. Over time, there were would be many spaceships. You would ultimately have upwards of 1,000 or more spaceships waiting in orbit. Hence, the Mars Colonial fleet would depart en masse. It makes sense to load the spaceships into orbit because you have got 2 years to do so, and then you can make frequent use of the booster and the tanker to get really heavy reuse out of those. With the spaceship, you get less reuse because you have to consider how long it is going to last-maybe30 years, which might be perhaps 12-15 flights of the spaceship at most. Therefore, you really want to maximize the cargo of the spaceship and reuse the booster and the tanker as much as possible. Hence,

Volume 7 Issue 8, August 2018 <u>www.ijsr.net</u> Licensed Under Creative Commons Attribution CC BY the ship goes to Mars, gets replenished, and then returns to Earth.

This ship will be relatively small compared with the Mars interplanetary ships of the future. However, it needs to fit 100 people or thereabouts in the pressurized section, carry the luggage and all of the unpressurized cargo to build propellant plants, and to build everything from iron foundries to pizza joints to you name it—we need to carry a lot of cargo.

The threshold for a self-sustaining city on Mars or a civilization would be a million people. If you can only go every 2 years and if you have 100 people per ship, that is 10,000 trips. Therefore, at least 100 people per trip is the right order of magnitude, and we may end up expanding the crew section and ultimately taking more like 200 or more people per flight in order to reduce the cost per person.



However, 10,000 flights are a lot of flights, so ultimately you would really want in the order of 1,000 ships. It would take a while to build up to 1,000 ships. How long it would take to reach that million-person threshold, from the point at which the first ship goes to Mars would probably be somewhere between 20 and 50 total Mars rendezvous—so it would take 40–100 years to achieve a fully self-sustaining civilization on Mars.

Vehicle Design And Performance

Figure6isacross-section of the ship. In some ways, it is not that complicated. It is made primarily of an advanced carbon fiber. The carbon-fiber part is tricky when dealing with deep cryogens and trying to achieve both liquid and gas impermeability and not have gaps occur due to cracking or pressurization that would make the carbon fiber leaky. Hence, it is a fairly significant technical challenge to make deeply cryogenic tanks out of carbon fiber. It is only recently that carbon-fiber technology has reached the point where we can do this without having to create a liner on the inside of the tanks, which would add mass and complexity. This is particularly tricky for the pressurization of the hot gases. This is likely to be autogenously pressurized, which means that we gasify the fuel and the oxygen through heat exchanges in the engine and use that to pressurize the tanks. So, we gasify the methane and use that to pressurize the fuel tank, and we gasify the oxygen and use that to pressurize the oxygen tank.



This is a much simpler system than what we have with Falcon where we use helium for pressurization and nitrogen for gas thrusters. In this case, we would autogenously pressurize and the nose gaseous methane and oxygen for the control thrusters. Hence, you really only need two ingredients for this, as opposed to four in the case of Falcon 9, or five if you consider the ignition liquid. In this case, we would use spark ignition. Figure 7 gives you a sense of

vehicles by performance, current and historic. For expendable mode, the vehicle that we were proposing would do about 550 tons and about 300 tons in reusable mode. That compares to the Saturn V max capability of 135 tons. Figure 8 gives a better sense of things. The dark gray bars show the performance of the vehicle, the payload to orbit of the vehicle.

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What it represents is the size efficiency of the vehicle. With most rockets, including ours, that are currently flying, the performance bar is only a small percentage of the actual size of the rocket. However, with the interplanetary system, which will initially be used for Mars, we believe we have improved the design performance massively. It is the first time a rocket performance bar will actually exceed the physical size of the rocket. Figure 9 gives you a more direct comparison. The thrust level is enormous. We are talking about a lift-off thrust of 13,000 tons, so it will be quite tectonic when it takes off. However, it doesfitonPad39A, which NASA has been kind enough to allow us to use because they oversized the padindoing Saturn V. As a result, we can use a much larger vehicle on that same launch pad.

In the future, we expect to add additional launch locations, probably adding one on the south coast of Texas, but this gives you a sense of the relative capability. However, these vehicles have very different purposes. This is really intended to carry huge numbers of people, ultimately millions of tons of cargo to Mars. Therefore, you really need something quite large in order to do that.

Raptor Engine

We started the development with what are probably the two most difficult key elements of the design of the interplanetary spaceship, the engine and rocket booster. The Raptor engine is going to be the highest chamber pressure engine of any kind ever built, and probably the highest thrust-to-weight (Fig. 10).

It is a full-flow staged combustion engine, which maximizes the theoretical momentum that you can get out of a given source fuel and oxidizer. We subcool the oxygen and methane to densify it. Compared with when used close to their boiling points in most rockets, in our case, we load the propellants close to their freezing point. That can result in a density improvement of around 10%–12%, which makes an enormous difference in the actual result of the rocket. It gets rid of any cavitation risk for the turbo pumps, and it makes it easier to feed a high-pressure turbo pump if you have very cold propellant. One of the keys here, though, is the vacuum version of the Raptor having a 382-second ISP. This is critical to the whole Mars mission and we are confident we can get to that number or at least within a few seconds of that number, ultimately maybe even exceeding it slightly.

Rocket Booster

In many ways, the rocket booster is really a scaled-up version of the Falcon 9 booster (Fig. 11). There are a lot of similarities, such as the grid fins and clustering a lot of engines at the base. The big differences are that the primary structure is an advanced form of Carbon fiber as opposed to aluminum lithium, we use autogenous pressurization, and we get rid of the helium and the nitrogen.



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	MARS VEHICLE	SATURN V	RATIO
GROSS LIFT-OFF MASS (I)	10,500	3,039	3.5
LIFT-OFF THRUST (MN)	128	35	3.6
LIFT-OFF THRUST (t)	13,033	3,579	3.6
VEHICLE HEIGHT (m)	122	111	1.1
TANK DIAMETER (m)	12	10	1.2
EXPENDABLE LEO PAYLOAD (t)	550	135	4.1
FULLY REUSABLE LEO PAYLOAD	D (t) 300		

Each rocket booster uses 42 Raptor engines (Fig. 12). It is a lot of engines, but with Falcon Heavy, which should launch early next year, there are 27 engines on the base. Therefore, we have considerable experience with a large number of engines. It also gives us redundancy so that if some of the engines fail, you can still continue the mission and everything will be fine. However, the main job of the booster is to accelerate the spaceship to around 8,500 km/h. For those who are less familiar with orbital dynamics, it is all about velocity and not about height. In the case of other planets, though, which have a gravity well that is not as deep, such as Mars, the moons of Jupiter, conceivably one day maybe even Venus-well, Venus will be a little trickier-but for most of the solar system, you only need the spaceship. You do not need the booster if you have a lower gravity well. Therefore, no booster is needed on the moon or Mars or any of the moons of Jupiter or Pluto. The booster is just there for heavy gravity wells. We have also been able to optimize the propellant needed for boost back and landing to get it down to about 7% of the lift-off propellant load. With some optimization, maybe we can get it down to about 6%. We are also now getting quite comfortable with the accuracy of the landing. If you have been watching the Falcon 9 landings, you will see that they are getting increasingly closer to the bull's eye. In particular, with the addition of maneuvering thrusters, we think we can actually put the booster right back on the launch stand. Then, those fins at the base are essentially centering features to take out any minor position mismatch at the launch site. So, that is what it looks like at the base. We think we only need to gimbal or steer the center cluster of engines. There are seven engines in the center cluster. Those would be theones that move for steering the rocket, and the other ones would be fixed in position. We can max out the number of engines because we do not have to leave any room for gimbaling or moving the engines. This is all designed so that you could actually lose multiple engines, even at liftoff or anywhere in flight, and still continue the mission safely.

6. Interplanetary Spaceship

For the spaceship itself, in the top, we have the pressurized compartment. Then, beneath that is where we would have the unpressurized cargo, which would be really flat-packed—a very dense format. Below that is the liquid oxygen tank (Fig. 13). The liquid oxygen tank is probably the hardest piece of this whole vehicle because it must

handle propellant at the coldest level and the tanks themselves actually form the airframe. The airframe structure and the tank structure are combined, as is the case in all modern rockets. In aircraft, for example, the wing is really a fuel tank in the shape of a wing. The oxygen tank has to take the thrust loads of ascent and the loads of reentry, and then it has to be impermeable to gaseous oxygen, which is tricky, and nonreactive to gaseous oxygen. Therefore, that is the most difficult piece of the spaceship itself, which is also why we started on that element.

1775	Length	49.5 m		
	Max Diameter	17 m		
	Raptor Engines	3 Sea-Level - 361s lsp		
Teler		6 Vacuum - 382s Isp		
	Vacuum Thrust	31 MN		
	Propellant Mass	Ship: 1,950 t		
		Tanker: 2,500 t		
	Dry Mass	Ship: 150 t		
		Tanker: 90 t		
	Cargo/Prop to LEO	Ship: 300 t		
		Tanker: 380 t		
State.	Cargo to Mars	450 t (with transfer on orbit)		
1 AT	Long term goal of 10	00+ passengers/ship		

Below the oxygen tank is the fuel tank, and then the engines are mounted directly to the thrust cone on the base. There are six of the high-efficiency vacuum engines around the perimeter, and those do not gimbal. There are three of the sea-level versions of the engine, which dogimbal and provide the steering, although we can do some amount of steering if you are in space with differential thrust on the outside engines. The net effect is a cargo to Mars of up to 450 tons, depending upon how many refills you do with the tanker. The goal is at least 100 passengers per ship, although ultimately, we will probably see that number grow to 200 or more. Depending upon which Earth-Mars rendezvous you are aiming for, the trip time at 6 km/s departure velocity can be as low as 80 days (Fig. 14). Over time, we would improve that, and, eventually, I suspect that you would see Mars transit times of as little as 30 days in the more distant future. It is fairly manageable, considering the trips that people used to do in the old days where sailing voyages would take 6 months or more. On arrival, the heat-shield technology is extremely important (Fig. 15). We have been refining the heat-shield technology using our Dragon spacecraft, and we are on version 3 of PICA, which is a phenolic-impregnated carbon ablator, and it is getting more robust with each new version, with less ablation, more resistance, and less need for refurbishment. The heat shield is basically a giant brake pad. It is a matter of how good you can make that brake pad against extreme re-entry conditions, minimize the cost of refurbishment, and make it so that you could have many flights with no refurbishment at all. I want to give you a sense of what it would feel like to actually be in the spaceship. In order to make it appealing and increase that portion of the Venn diagram where people

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Cost Per Trip

The key is making this affordable to almost anyone who wants to go (Fig. 17). Based on this architecture, assuming optimization over time, we are looking at a cost per ticket of <\$200,000, maybe as little as \$100,000 over time, depending upon how much mass a person takes. Right now, we are estimating about \$140,000 per ton for the trips to Mars. If a person plus their luggage is less than that, taking

into account food consumption and life support, the cost of moving to Mars could ultimately drop below \$100,000. Obviously, it is going to be a challenge to fund this whole endeavor. We expect to generate a pretty decent net cash flow from launching lots of satellites and servicing the space station for NASA, transferring cargo to and from the space station.



Fig. 17. Cost per ton to Mars.

There are also many people in the private sector who are interested in helping to fund a base on Mars, and perhaps there will be interest on the government sector side to do that too. Ultimately, this is going to be a huge public–private partnership. Right now, we are just trying to make as much progress as we can with the resources that we have available and to keep the ball moving forward. As we show that this is possible and that this dream is real—it is not just a dream, it is something that can be made real—the support will snowball over time. I should also add that the main reason I am personally accumulating assets is in order to fund this. I really do not have any other motivation for personally accumulating assets except to be able to make the biggest contribution I can to making life multi-planetary.

Timelines

In 2002, SpaceX basically consisted of carpet and a mariachi band. That was it. I thought we had maybe a 10% chance of doing anything—of even getting a rocket to orbit, let alone getting beyond that and taking Mars seriously.

However, I came to the conclusion that if there were no new entrants into the space arena with a strong ideological motivation, then it did not seem as if we were on a trajectory to ever be a space-based civilization and be out there among the stars. In 1969, we were able to go to the moon, and the space shuttle could get to low Earth orbit. Then the space shuttle was retired. However, that trend line is down to zero. What many people do not appreciate is that technology does not automatically improve; it only improves if a lot of really strong engineering talent is applied to the problem. There are many examples in history where civilization shave reached a certain technology level, fallen well below that, and then recovered only millennia later. We went from 2002, where we basically were clueless, and they built the smallest, useful orbital rocket that we could think of with Falcon 1, which would deliver half a ton to orbit. Four years later, we developed the first vehicle. We developed the main engine, the upper-stage engine, the airframes, the fairing, and the launch system, and we had our first attempt at launch in 2006, which failed. It lasted about 60 seconds, unfortunately.

7. Future

Figure 19 shows the future—next steps. We were intentionally fuzzy about this timeline. However, we are going to try to make as much progress as we can on a very constrained budget, on the elements of the interplanetary transport booster and spaceship. Hopefully, we will be able to complete the first development spaceship in maybe about 4 years, and we will start doing suborbital flights with that.



It has enough capability that you could possibly go to orbit if you limit the amount of cargo on the spaceship. You would have to really strip it down, but in tanker form, it could definitely get to orbit. It cannot get back, but it can get to orbit.

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Maybe there is some market for the really fast transport of things around the world, provided we can land somewhere where noise is not a super-big deal because rockets are very noisy. We could transport cargo to anywhere on Earth in 45 minutes at the most. Hence, most places on Earth would be 20–25 minutes away. If we had a floating platform off the coast of New York, 20–30 miles out, you could go from New York to Tokyo in 25 minutes and across the Atlantic in 10 minutes. Most of your time would be spent getting to the ship and then it would be very quick after that. Therefore, there are some intriguing possibilities there, although we are not counting on that.

Then, there is the development of the booster. The booster part is relatively straightforward because it amounts to a scaling up of the Falcon 9 booster. So, we do not see that there will be many showstoppers there.

Then it will be a case of trying to put it all together and make this actually work for Mars. If things go super-well, it might be inthe10-year timeframe, but I do not want to say that is when it will occur. There is a huge amount to frisk. It is going to cost a lot. There is a good chance we will not succeed, but we are going to do our best and try to make as much progress as possible.

Carbon-Fiber Tank

We also wanted to make progress on the primary structure. As I mentioned, this is really a very difficult thing to make out of carbon fiber, even though carbon fiber has incredible strength to weight. When you then want to put super-cold liquid oxygen and liquid methane, particularly liquid oxygen, in the tank, it is subject to cracking and leaking.



The sheer scale of it is also challenging because you have to lay out the carbon fiber in exactly the right way on a huge mold, and you have to cure that mold at temperature. It is just really hard to make large carbon-fiber structures that could do all of those things and carry incredible loads.

That was the other thing we wanted to focus on: the first development tank for the Mars spaceship. This is really the hardest part of the spaceship. The other pieces we have a pretty good handle on, but this was the trickiest one so we wanted to tackle it first.

This was a massive achievement. Huge congratulations are due to the team that worked on it. We managed to build the first tank, and the initial test with the cryogenic propellant actually looks quite positive. We have not seen any leaks or major issues.



Figure 22 is what the tank looks like on the inside. You get a real sense of just how big this tank is. It is completely smooth on the inside, but the way that the carbon fiber applies, lays up, and reflects the light makes it look multifaceted.

Beyond Mars

What about beyond Mars? As we thought about the system and the reason we call it a system—because generally, I do not like calling things "systems," as everything is a system, including your dog. However, it is actually more than a vehicle. There is obviously the rocket booster, the spaceship, the tanker and the propellant plant, and the in situ propellant production.



If you have all four of these elements, you can go anywhere in the solar system by planet hopping or moon hopping. By establishing a propellant depot on the asteroid belt or on one of the moons of Jupiter, you can make flights from Mars to Jupiter. In fact, even without a propellant depot at Mars, you can do a flyby of Jupiter (Fig. 23).



However, by establishing a propellant depot, say on Enceladus (Fig. 24) or Europa (Fig. 25), and then establishing another one on Titan, Saturn's moon, and then perhaps another one further out on Pluto or elsewhere in the solar system, this system really gives you the freedom to go anywhere you want in the greater solar system (Fig. 26). Therefore, you could travel out to the Kuiper Belt, to the Oort cloud. I would not recommend this for interstellar journeys, but this basic system—provided we have filling stations along the way—means full access to the entire greater solar system.

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