

Network Intrusion Detection Using Machine Learning Techniques

Sayi Sruthi .K¹, Liston Deva Glindis²

¹Master of Engineering, Computer Science Engineering, Dhanalakshmi Srinivasan College of Engineering, Coimbatore, India

²Assistant Professor, Dhanalakshmi Srinivasan College of Engineering, Coimbatore, India

Abstract: *Network traffic anomaly indicates a possible intrusion in the network and therefore anomaly detection is important to detect and prevent the security attacks. The early research works in this area and commercially available in Intrusion Detection Systems (IDS) they are mostly signature-based. The problem of signature based method is that the database signature needs to be updated as new attack signatures become available and therefore it is not suitable for the real-time network anomaly detection. The recent trend in anomaly detection is based on machine learning classification techniques. We apply seven different machine learning techniques with information entropy calculation to Kyoto 2006+ data set and evaluate the performance of these techniques. Our findings show that, for this particular data set, most machine learning techniques provide higher than 90% precision, recall and accuracy. However, using area under the Receiver Operating Curve (ROC) metric, we find that Radial Basis Function (RBF) performs the best among the seven algorithms studied in this work.*

Keywords: Accuracy, Kyoto-2006+ data set, RBF, Recall, Roc metric, Precision

1. Introduction

The usage of network is changing at a very fast rate. The amount of network traffic volume is also rapidly increasing. Monitoring network traffic for anomaly detection is not a new concept as there are many types of attacks besides the virus and malware. Those attacks can impact not only the host computers, but also the network performance significantly, or in the worst case scenario, it can completely stop some network services. The early research work on the anomaly detection was mostly signature-based. The problem of signature based method is that the database signature needs to be updated as the new signatures become available and therefore it is not suitable for the real-time network anomaly detection. Thus, more investigations on the network traffic anomaly detection using advanced machine learning classification techniques are required to detect new type of anomalies. Machine learning is becoming popular in recent years. This is due to the emergence of many new computing technologies as well as availability of more data. Although the machine learning techniques have been around for a long time, finding a way to use them efficiently and in real time is a new trend. As mentioned earlier, using machine learning techniques to detect intrusion has been researched by many people. However, to the best of our knowledge, the commercial tools for intrusion detection do not have these methods implemented; today the existing methods are signature based. In addition, among the various methods attempted by the researchers it is not well established what methods are more suitable for this application. Further, there needs to be evaluated using a common benchmark data for comparing various techniques. In this paper, we use seven techniques on a well-known data set (Kyoto 2006+) [21-22] and evaluate the performance of those machine learning techniques in terms of accuracy, recall, and precision. The seven techniques adopted are: K-Means, K-Nearest Neighbors (KNN), Fuzzy C-Means (FCM), Support Vector Machine (SVM), Naïve-Bayes (NB), Radial Basis Function (RBF) and Ensemble method

comprising of the above mentioned six algorithms. These algorithms are all evaluated first using three well-known metrics called (i) precision, (ii) recall and (iii) accuracy and next using the ROC metric. We find the ROC metric is more suitable for ranking the results of the algorithms.

2. Literature Survey

There are several projects undertaken and various methods proposed for network intrusion detection. Various technologies has been used to implement this to keep our network secure. Following are the few papers which propose the idea about network anomaly detection using machine learning classifiers

1) Anomaly Detection based on Machine Learning: Dimensionality Reduction using PCA and Classification using SVM **AUTHORS:** Annie George In this Anomaly detection has emerged as an important technique in many application areas mainly for network security. Anomaly detection based on machine learning algorithms considered as the classification problem on the network data has been presented here. Dimensionality reduction and classification algorithms are explored and evaluated using KDD99 dataset for network IDS. Principal Component Analysis for dimensionality reduction and Support Vector Machine for classification have been considered for the application on network data and the results are analyses. The result shows the decrease in execution time for the classification as we reduce the dimension of the input data and also the precision and recall parameter values of the classification algorithm shows that the SVM with PCA method is more accurate as the number of misclassification decreases.

2) A data mining framework for building intrusion detection model **AUTHORS:** W.K.Lee,S.J.Stolfo According to him there is often need to update an installed intrusion detection system (IDS) due to new attack methods or upgraded computing environments. There are many current IDSs which is constructed by using manual encoding

of expert knowledge, changes to these IDSs are expensive and slow. We describe a data mining framework for adaptively building Intrusion Detection (ID) models. The central idea is to utilize auditing programs to extract an extensive set of features that describe each network connection or host session, and apply data mining programs to learn rules that accurately capture the behavior of intrusions and normal activities. These rules can then be used for misuse detection and anomaly detection. New detection models are incorporated into an existing IDS through a meta-learning (or co-operative learning) process, which produces a meta detection model that combines evidence from multiple models. We discuss the strengths of our data mining programs, namely, classification, meta-learning, association rules, and frequent episodes. We report on the results of applying these programs to the extensively gathered network audit data for the 1998 DARPA Intrusion Detection Evaluation Program

3) A Review of Anomaly based Intrusion Detection Systems

AUTHORS: V. Jyothisna, V. V. Rama Prasad, K. Munivara Prasad With the advent of anomaly-based intrusion detection systems, many approaches and techniques have been developed to track novel attacks on the systems. High detection rate of 98% at a low alarm rate of 1% can be achieved by using these techniques. Though anomaly-based approaches are efficient, signature-based detection is preferred for mainstream implementation of intrusion detection systems. As a variety of anomaly detection techniques were suggested, it is difficult to compare the strengths, weaknesses of these methods. The reason why industries don't favor the anomaly-based intrusion detection methods can be well understood by validating the efficiencies of the all the methods. To investigate this issue, the current state of the experiment practice in the field of anomaly-based intrusion detection is reviewed and survey recent studies in this. This paper contains summarization study and identification of the drawbacks of formerly surveyed works.

4) Research of Intrusion Detection based on Principal Components Analysis

AUTHORS: CHEN Bo, Ma Wu, It is the effective way of improving the efficiency of intrusion detection is to reduce the heavy data process workload. In this paper, the dimensionality reduction technology is used in the classic dimensionality reduction algorithm principal component to analysis large-scale data source for reduced-made features of the original data to be retained and improved the efficiency of intrusion detection. And also they use BP neural network training data after dimensionality reduction, this method will be effective in normal and abnormal data distinction, and it achieved good results.

5) Solving multiclass learning problems via error-correcting output codes

AUTHORS: G. Dietterich, G. Bakiri In this paper they recommended that Multiclass learning problems involve finding a definition for an unknown function $f(x)$ whose range is a discrete set containing $k > 2$ values (i.e., k "classes"). The definition is acquired by studying collections of training examples of the form $(x_i, f(x_i))$. Existing approaches to multiclass learning problems include direct application of multiclass algorithms

such as the decision-tree algorithms C4.5 and CART, application of binary concept learning algorithms to learn individual binary functions for each of the k classes, and application of binary concept learning algorithms with distributed output representations. In this paper they compares these three approaches to a new technique in which error-correcting codes are employed as a distributed output representation. We show that these output representations improve the generalization performance of both C4.5 and back propagation on a wide range of multiclass learning tasks. We also demonstrate that this approach is robust with respect to changes in the size of the training sample, the assignment of distributed representations to particular classes, and the application of over fitting avoidance techniques such as decision-tree pruning. Finally, we show that--like the other methods--the error-correcting code technique can provide reliable class probability estimates. Taken together, these results demonstrate that error-correcting output codes provide a general-purpose method for improving the performance of inductive learning programs on multiclass problems.

3. Working Principle

- 1) **K-Means algorithm:** Wang also presented an improved K-Means algorithm to overcome the sensitivity problem of initial center selection. The basic idea was to choose the initial centers as decentralized as possible. The K-Means improved algorithm was applied on KDD Cup 1999 data set.
- 2) **Clustering algorithm** Hu et al proposed to solve the problem of high false positive in network intrusion detection system using clustering algorithm. They attempted two clustering algorithms namely K-Means and Fuzzy C Means. DARPA 2000 and LLDOS data set are used for the validation of the algorithm. The Fuzzy C Means algorithm worked better than K-Means.
- 3) **K Nearest Neighbor (KNN) algorithm** Govindarajan and Chandrasekhar proposed an improved K Nearest Neighbor (KNN) algorithm for network anomaly detection application. Their proposed algorithm yields a reduction of the run time by up to 0.01 % and 0.06 % while error rates are lowered by up to 0.002 % and 0.03 % for normal and abnormal behavior respectively.
- 4) **C-Means clustering algorithm** Ren *et al* put forward Fuzzy C-Means clustering algorithm for intrusion detection. The algorithm was applied on six different subsets of KDD Cup 1999 data set with 5000 records each. The detection rate varies between 50.3% and 90.5% whereas the false positive rate ranges between 0.2% and 4.1%.
- 5) **Malgorithm** Zhu and Lia put forward the SVM algorithm for intrusion detection based on space blocks and sample density. Their main contribution lies in developing an algorithm to reduce the sample size and thereby the learning speed. The SVM model works on the reduce sample set. The authors selected 100,000 records from DARPA data set and used Radial Basis Function (RBF) as the kernel function for the SVM. This improved SVM works with better accuracy and learning speed than the traditional SVM algorithm.

4. Existing System

The early research work on the anomaly detection was mostly signature-based. NSL-KDD data set was used for the evaluation of clustering algorithms. In network anomaly detection, network anomaly detection technique using entropy metrics was proposed and it was compared with the results obtained using volume metric. Wang introduced an improved K-means clustering algorithm based on information entropy and frequency sensitive discrepancy metric. An SVM algorithm for intrusion detection was used based on space block and sample density. Their main contribution lies in developing an algorithm to reduce the sample size and thereby the learning speed. An SVM model for intrusion detection was proposed to use short sequence data and label them as anomaly or normal using SVM model. The SVM based model can be used to detect data accurately, reduce miss probability and can be effectively used for real-time intrusion detection. And also four different types of neural network models for probe attack detection was used to secure our network from attacks.

5. Drawback of the Existing System

The problem of signature based method is that the database of signature needs to be updated as the new signatures become available and therefore it is not suitable for the real-time network anomaly detection. Major issues in intrusion detection research is to find good labelled data sets which contain representatives of different types of intrusion and normal traffic data. KDD'99 data set contains anomaly labels and descriptions. The two major drawbacks are: (i) the data set is more than ten years old and therefore does not reflect current threats (ii) even though there are 41 features describing a flow, the most common descriptors, such as source port, are omitted.

6. Proposing System

Here in this paper the whole framework of the new approach are mentioned. Then we discuss the four main modules, i.e., k-means clustering module, neuro-fuzzy training module, SVM training vector module, and radial-SVM classification module. The proposed intrusion detection technique initially clusters the given training data set by using k-means clustering technique into k-clusters, where 'k' is the number of desired clusters. In the next step, neuro-fuzzy training is used to train 'k' neural networks, where each of the data in a particular cluster is trained with the respective neural network associated with each of the cluster. Subsequently, vector for SVM classification is generated. This vector consists of attribute values obtained by passing each of the data through all of the trained neuro-fuzzy classifiers, and an additional attribute which has membership value of each of the data. As a last step, classification is performed by using radial SVM to detect intrusion has happened or not. Our proposed technique comes up with a solution where the number of attributes defining each of the data is reduced to a small number through a sequence of steps. This process ultimately results in making the intrusion detection more efficient and also yields a less complex system with a better result. In this paper, seven techniques are used on data set

(Kyoto 2006+) and performance of those machine learning techniques are evaluated in terms of accuracy, recall, and precision. The seven techniques adopted are: K-Means, K-Nearest Neighbours (KNN), Fuzzy C-Means (FCM), Support Vector Machine (SVM), Naïve-Bayes (NB), Radial Basis Function (RBF) and Ensemble method comprising of the above mentioned six algorithms. These algorithms are all evaluated first using three well-known metrics called (i) precision, (ii) recall and (iii) accuracy and next using the ROC metric. The results obtained for RBF are much better than that of K-Nearest neighbour (KNN), K-Means (KM) and Fuzzy C-Means (FCM) algorithms. Using the Ensemble algorithm, we obtain a high precision, recall and accuracy. Our findings show that, for this particular data set, most machine learning techniques provide higher than 90% precision, recall and accuracy. Under the Receiver Operating Curve (ROC) metric, the Radial Basis Function (RBF) performs the best among the seven algorithms studied in this work.

a) Proposed System Architecture

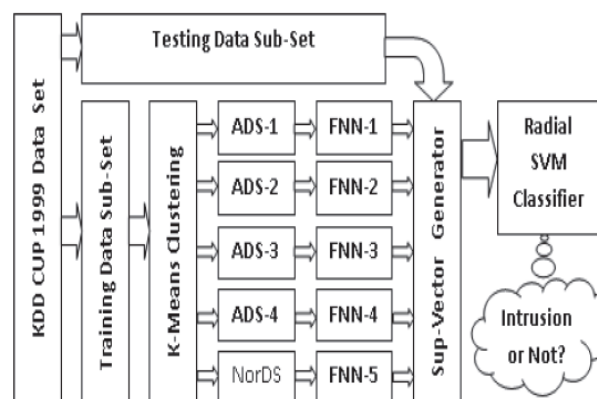


Figure 1: Proposed Main Architecture

7. Proposed System Data Set and Modules

Unlike many research networks, which only provide flow data or sanitized raw TCP data, each observation in KDD'99 data set contains anomaly labels and descriptions. This data source has been widely used in research. While it is commonly used in algorithm evaluation, there are two major drawbacks: (i) the data set is more than ten years old and therefore does not reflect current threats, and (ii) even though there are 41 features describing a flow, the most common descriptors, such as source port, are omitted. To eliminate these drawbacks a new data set for evaluation purpose called Kyoto2006+ is used. This new data set contains 24 features - 14 features are the same as in the original KDD'99 data set and there are 10 extra features. Six out of the 10 extra features are connection information that was omitted in the original data set but deemed relevant and necessary later by researchers working in this field. Kyoto 2006+ data set is built on three years of real traffic data collected using 348 honey pots. The traffic data was labeled using three security software: SNS7160 IDS, Clam Antivirus, and As hula. It is not possible to do any type of data analysis directly using the raw data. First it is important to preprocess the data. The preprocessing of the data mainly has three components: (i) Identification of data which are

not formatted properly and fix them or remove them from the data set (ii) Identification of the normal traffic flows and the anomalies or infected traffic flows (iii) Calculation of entropy for each network feature In our experiments, entropy of each traffic feature is used before applying the machine learning techniques. The formula shown in Equation (1) is used to calculate the entropy from the raw data in order to get more informative aggregated data such as source address entropy, destination address entropy, etc. The entropy is a highly established measure in other applications as well as in anomaly detection application . Entropy captures the degree of dispersal or concentration of a distribution. For an empirical histogram $X = \{n_i=1, 2, \dots, N\}$, meaning i occurs n_i times in the sample, then the entropy can be defined as: $H(X) = - \sum_{i=1}^N n_i \div s \log_2 n_i \div s \dots (1)$ Where N is the number of distinct values seen in the sampled set of traffic flows and $S = \sum_{i=1}^N n_i$. As can be seen from Equation (1), the sample entropy lies in the range between $(0, \log_2 N)$. The entropy takes a value of 0 when the distribution is maximally concentrated and a value of $\log_2 N$ when it is maximally dispersed. We randomly choose and create two separate data sets from Kyoto 2006+ data: one set is used for training (for supervised techniques) and one set for testing. For unsupervised techniques (the clustering algorithms), test data set is used for validation. The next task is to create data profiles for different time bins and with no time overlapping. Each of these time bins has a start time, end time and various aggregate features derived from flows which fall within that particular time bin. For example, total bytes is the total amount of bytes transmitted by all flows with a start time after the bin start time and before the bin end time. The entries that fit in between are ignored. Time bins are units for periods of time for which the data are collected. Hence, if a flow starts at 12:00 am and ends at 12:01 am, the metrics collected for this period will fit in 12:00 AM – 12:05 AM time bin assuming a five-minute bin is used. We created a time profile program where the bin period can be varied. It is worth noting that detection of anomalies can vary with the chosen time bin period. For each time bin, the entropy metrics are extracted from the flow information. All data processing is done using Java programming language.

8. Technology Used for the System

As mentioned in the previous section, seven machine learning techniques are employed on the labeled traffic data. For each algorithm we visualize the confusion matrix which shows the following widely used raw metrics. (1) TP (True Positive): this is defined as the number of anomalous traffic flows predicted is actually anomalous. (2) FP (False Positive): this is defined as the number of traffic flows predicted as anomalous but actually normal (3)TN (True Negative): this is defined as the number of traffic flows predicted as normal and actually normal (4) FN (False Negative): this is defined as the number of traffic flows predicted as anomalous but actually normal. Our aim is to maximize TP and TN while minimizing FP and FN. Based on these metrics we also derive the following metric: Precision = TP/(TP+FP) , Recall = TP/(TP+FN) Accuracy = (TP+TN)/(TP+TN+FP+FN) As seen, the higher the value (1 being maximum) of these factors, the better the classification result is. All analyses were performed using

the R programming language. The precision, accuracy and recall metrics for all algorithms are presented in Table 1. From Table 1, it is evident that the predicted precision and accuracy match quite satisfactorily with the data set used for evaluation. However, the recall result is quite low in a few cases which indicate high values of false negative. The results obtained for KNN are much better than that of K-Means (KM) and Fuzzy C-Means (FCM) algorithms as can be seen from in Table 1. We fine tune the number of neighborhoods to 15 in order to achieve good results. For K - Means and Fuzzy C-Means, two clusters are chosen with random initial centroids. The two clusters represent the anomaly and normal traffic. For KNN, the precision value is 0.9565 and the accuracy is 0.9754 implying most anomalous flows are detected as anomalous. Both K-Means and Fuzzy CMeans results are not satisfactory, i.e. although precision and accuracy results are over 75%, the recall value is quite poor – only 25%. The results of Naïve-Bayes (NB) algorithm on the test data set are quite comparable to that of KNN; all three metrics show over 90%. SVM also performs better than FCM and K-Means. The RBF technique shows very good results with high precision, recall and accuracy. For this special neural network technique, we have used 15 neurons in the hidden layer. In general, all machine learning algorithms perform quite satisfactorily, except K-Means and Fuzzy CMeans. However, the results of K-Means and Fuzzy C-Means can be probably improved by choosing more than two clusters and with improved algorithms for choosing initial centroids. Further work is warranted in this area. The Ensemble algorithm combines the results of the above mentioned six algorithms and use majority voting for the final prediction. Using the Ensemble algorithm, we also obtain a high precision, recall and accuracy, but slightly worse results than that of RBF. Table 1: precision, Recall and Accuracy

Algorithm	Precision	Recall	Accuracy
KM	0.75	0.25	0.836
KNN	0.9565	0.9167	0.9754
FCM	0.75	0.25	0.836
NB	0.9167	0.9167	0.9672
SVM	0.8695	0.8333	0.9426
RBF	0.92	0.9583	0.9754
ENSEMBLE	0.8846	0.98583	0.6975

It is somewhat difficult to compare the results of the seven algorithms discussed here using multiple performance metrics. As can be seen from Table 1, although KNN has a higher score for precision value, and the same score for accuracy as compared to RBF, the recall value is about 91.67% - much lower than that of RBF (0.9583). Depending upon the application of interest, one may choose a higher precision over recall. The same is true for the accuracy metric. In this investigation, we use another metric called ROC (the Receiver Operating Curve) to compare the results of the six algorithms and the Ensemble algorithm as shown in Table 2. Among the seven algorithms, RBF perform the best with an ROC value of 0.9741 and FCM and KM have the lowest ROC of 0.6148. Our proposed Ensemble algorithm provides an ROC value of 0.9639 –the second best result. Some work has been already conducted using Ensemble methodologies for anomaly detection that perform better results than individual algorithms [23-25]. There is

scope for further research in this area. Table 2: ROC Metrics for Evaluation of the Algorithms

Algorithm	ROC
RBF	0.9741
ENSEMBLE	0.9639
KNN	0.9532
NB	0.9481
SVM	0.8823
KM	0.6148
FCM	0.6148

9. Conclusion and Result

This work presented six commonly using six machine learning techniques as well as an Ensemble method based on the six algorithms for network traffic anomaly detection. We compared those methods and obtained the preliminary results using the sample data from Kyoto 2006+ data set. The RBF classification technique worked the best with an ROC value of 0.9741, whereas the Ensemble method came close with ROC of 0.9631. Although the Ensemble technique used in this study did not provide the best result, the technique has potential and further work in this area is worth-pursuing. While KDD-Cup 1999' data set has been used by more than 50% of the researchers working in the network security area [1], there is only limited work performed using Kyoto 2006+ data set. Also, in this work, we proposed to use information entropy as the traffic features followed by machine learning classification techniques for network anomaly detection.

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