

# New Zinc (II) Phthalocyanines Substituents: Synthesis, Aggregation Behavior, Antioxidant, and Antibacterial Activity

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**Abstract:** *The novel phthalonitrile derivatives bearing methyl 2-mercaptoacetate and methyl 3-mercaptopropanoate phthalonitrile substituents at peripheral position were prepared by a nucleophilic displacement reaction. Cyclotramerization of these phthalonitrile derivatives in the presence of corresponding metal salts gave the new metallophthalocyanines. The novel compounds were characterized by using various spectroscopic data. The aggregation investigations carried out in different concentrations indicate that phthalocyanine compounds do not have any aggregation behavior for the concentration range of  $10^{-4}$ – $10^{-6}$  M in tetrahydrofuran. In vitro three antioxidant test methods, namely (2, 2-diphenyl-1-picryl-hydrazyl) radical scavenging activity was used to determine the antioxidant activity of compounds. In addition to these, the antibacterial activities of compounds were investigated by using the disk-diffusion method.*

**Keywords:** Phthalocyanines, Synthesis, aggregation, antioxidant, antibacterial activity

## 1. Introduction

Phthalocyanines (Pcs) are one of the important tetrapyrrole derivatives, formally known as tetrabenzo [5,10,15,20]-tetraazaporphyrins, are more stable analogs of the porphyrins and porphyrazines, but, unlike porphyrins, they do not occur in nature as they are totally synthetic compounds [1]. The fully conjugated 18  $\pi$  electronic structure of Pcs gives to their structure, good thermal, optical stability and intense absorption bands in visible area. Thanks to these special and exclusive properties, Pcs have led to numerous interests in many fields, such as therapeutic medicine, photosensitizers for photodynamic therapy of cancer (PDT)[2] and antioxidant[3]. Besides, many other applications have appeared, including nonlinear optics [4], optical filters [5], liquid-crystalline electronic charge carriers [6], Langmuir–Blodgett films [7], chemical sensor [8], ink-jet printing [9], optical read-write disks [10], catalysis [11], layers of gas sensors [12] solar cells [13], exciton-transport materials, semi-conductors[14] in addition to their traditional use as dyes and pigments [15]. Due to the intermolecular interactions between the macrocycles, many metal free phthalocyanines (Pcs) and metallophthalocyanines compounds are generally insoluble in organic solvents. To solve this problem, introducing different types of substituents such as alkyl, alkoxy, alkylthio and macrocyclic groups into the peripheral of the phthalocyanine ring or changing the metal ion are used[16].

In this study, we focused on the synthesis of phthalocyanines bearing methyl 2-mercapto-acetate and methyl 3-mercaptopropanoate phthalonitrile substituents on the peripheral positions. We have also reported the results of a comprehensive investigation of the concentration effects on the aggregation properties of phthalocyanine derivatives in THF. Moreover, these new compounds were investigated for antioxidant and antibacterial activities.

## 2. Experimental

### 2.1 Materials and Equipment

N,N-dimethylformamide (DMF), dimethyl-sulfoxide (DMSO), dichloromethane (DCM), 1-pentanol, n-hexane, chloroform (CHCl<sub>3</sub>) and ethanol (C<sub>2</sub>H<sub>5</sub>OH) were freshly used (potassium carbonate (K<sub>2</sub>CO<sub>3</sub>), zinc acetate (Zn(OAc)<sub>2</sub>), 1,8-diazabicyclo[5.4.0] undec-7-ene (DBU) and thiols were used as received from Aldrich. 4-nitrophthalonitrile was synthesized in our laboratory starting with the phthalimide according to the literature procedure [17].

<sup>1</sup>H-NMR and <sup>13</sup>C-NMR spectral data were obtained by Varian 300 FT-NMR. Spectrometer FTIR spectra were acquired on a Perkin-Elmer BX FT-IR system spectrometer by dispersing sample in KBr pellets. UV-Visible absorption spectra were recorded on a Cary 2300 spectro-photometer. Mass spectra were obtained using an Autoflex III ( Bruker) with electron impact (EI) ionization methods. Elemental analysis was done using a Vario-ElementarMicrocube EL III. Mass spectral data were collected with a BrukerAutoflex III Smartbeam TOF/TOF Mass spectrometer.

### 2.2 Synthesis

#### 2.2.1 (Methyl 2-mercaptoacetate) phthalonitrile (2)

Methyl 2-mercaptoacetate (1.5 g, 14.45 mmol) and 4-nitrophthalonitrile **1** (2.5 g, 14.45 mmol) were dissolved in 10 mL DMF. The mixture was stirred at room temperature under nitrogen atmosphere. After stirring for 15 min, dry potassium carbonate K<sub>2</sub>CO<sub>3</sub> (2 g, 14.5 mmol) was added in small portions during 2 h with efficient stirring and the reaction mixture left to stir for a further 72 h at room temperature. The mixture was then added water (150 mL) and stirred for 15 min. The resulting precipitate was filtered off, thoroughly washed with diethyl ether and acetone, dried and recrystallized from an ethanol: Yield: (90%). Mp: 129 °C. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>):  $\delta$ , ppm: 7.9 (s,

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1H-Ar); 7,6-7.7 (2d, 1H-Ar); 7,4 (d,1H-Ar); 4,1 (s, 2H, -CH<sub>2</sub>); 3,5 (s, 3H, -CH<sub>3</sub>). <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>): δ, ppm: 172.4, 145.6, 136.1, 130.7, 120.8, 117.3, 115, 54.2, 35.1 FTIR  $\nu_{\max}/\text{cm}^{-1}$ : 3005 (C-H), 2226 (C≡N), 1735,1750 (C=O), 1641, 1603 (C=C), 1437, 1408, 1387 (C-H), 910-827 (C-S-C). Anal calculated for C<sub>11</sub>H<sub>8</sub>O<sub>2</sub>N<sub>2</sub>S: C, 56.83; H, 3.44; N, 12.05; S, 13.80%; Found: C, 56.87; H, 3.40; N, 12.44; S, 13.51 %. Mass m/z (EI, 30 eV): M<sup>+</sup> 232.26.

### 2.2.2(Methyl 3-mercaptopropanoate) phthalonitrile(3)

The synthesis for **3** was the same as for **2** except methyl 3-mercaptopropanoate (1.7 g, 14.45 mmol) was employed instead of methyl 2-mercaptoacetate. The amounts of the reagents were the same as for **2**. Yield: (87%). Mp: 132 °C. <sup>1</sup>H NMR(300 MHz, CDCl<sub>3</sub>): δ, ppm: 7.9 (s, 1H-Ar); 7,6-7.7 (2d, 1H-Ar); 7,4 (d,1H-Ar); 3,8 (s, 3H, -CH<sub>3</sub>); 3.2-3.15 (m, 2H, -CH<sub>2</sub>), 2.6-2.57 (m, 2H, -CH<sub>2</sub>). <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>): δ,ppm: 175.2, 150.4, 136.1, 133.2, 125.4, 120.3, 115.7, 54.9, 34.1, 31.3.FTIR  $\nu_{\max}/\text{cm}^{-1}$ : 3020 (C-H), 2232, (C≡N), 1735 ,1750 (C=O), 1671, 1605 (C=C), 1441, 1418, 1390 (C-H), 914-839 (C-S-C). Anal calculated for C<sub>12</sub>H<sub>10</sub>O<sub>2</sub>N<sub>2</sub>S: C, 58.46; H, 4.06; N, 11.36; S, 12.99%; Found: C, 58.40; H, 4.12; N, 11.31; S, 13.04 %. Mass m/z (EI, 30 eV): M<sup>+</sup> 246.29.

### 2.2.3 2,10,16,24-Tetrakis (methyl 2-mercaptoacetate) phthalocyanine zinc (II) (4)

A mixture of compound **2** (0.1 g, 0.448 mmol), anhydrous zinc acetate Zn(OAc)<sub>2</sub> (0.097 g, 0.448 mmol) and 1,8-diazabicyclo[5.4.0]undec-7-ene (DBU) (0.1 mL, 0.67 mmol) in dry 1-pentanol (2 mL) was stirred and heated at reflux temperature under an argon atmosphere for 12 h. After cooling to room temperature, the reaction mixture was precipitated by adding it drop wise into n-hexane. The crude product was precipitated, collected by filtration and washed with hot hexane, ethanol and methanol. The crude green product was further purified by chromatography over a silica gel column using CH<sub>2</sub>Cl<sub>2</sub> and a mixture of CH<sub>2</sub>Cl<sub>2</sub>-ethanol (10:1 by volume), as eluents. Yield 39%. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>): δ, ppm: 7.54-7.06 (m, 8H, Ar-H); 6.88 (s, 4H, Ar-H); 4.25 (m, 8H, -CH<sub>2</sub>); 3.7 (s, 12H, -CH<sub>3</sub>). FTIR  $\nu_{\max}/\text{cm}^{-1}$ : 3098 (C-H), 1745-1725 (C=O), 1437-1407 (C=C), 1290-1095 (C-O), 716 (C-S-C). UV-Vis (THF)  $\lambda_{\max}$ , nm (log ε): 340(4,42) 616(4,18), 678(4,49). MALDI-TOF-MS m/z: Calc.: 985.50. Found: 987.09 [M+2]<sup>+</sup> for C<sub>44</sub>H<sub>32</sub>N<sub>8</sub>S<sub>4</sub>O<sub>8</sub>Zn.

### 2.2.4 2, 10, 16, 24-Tetrakis4 (methyl 2-hydrosulfonylacetate) phthalocyanine zinc (II) (5)

The procedure is the same with the synthesis of compound **5**, except it is the compound **3** that will be used instead of compound **2**. Yield 37%. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>): δ, ppm: 8.01(m, 8H, Ar-H); 6.87(s, 4H, Ar-H); 4.1(s, 12H, -CH<sub>3</sub>); 2.65(m, 16H, -CH<sub>2</sub>) FTIR  $\nu_{\max}/\text{cm}^{-1}$ : 3090 (C-H), 1750-1705 (C=O), 1420-1405 (C=C), 1273-1080 (C-O), 698 (C-S-C). UV-Vis (THF)  $\lambda_{\max}$ , nm (log ε): 340(4,42) 616(4,18),

678(4,49). MALDI-TOF-MS m/z: Calc.: 1041.48. Found: 1042.61 [M+1]<sup>+</sup> for C<sub>48</sub>H<sub>40</sub>N<sub>8</sub>S<sub>4</sub>O<sub>8</sub>Zn.

## 2.3 Antibacterial effect of compounds

In vitro antibacterial activity of DMSO solution of compounds was experimented against gram-positive bacteria (*Micrococcus luteus* (ATCC 9341), *Bacillus subtilis* (6051), *Staphylococcus aureus* (ATCC 6538) and *Enterococcus hirae* (ATCC10541), gram-negative bacteria (*Escherichia coli* (ATCC 10536) and *Pseudomonas aeruginosa* (ATCC 9027)) were appraised via the disk-diffusion method. 15 mL solution of compounds in DMSO at a concentration of 500 µg/mL, was used to soak the Sterile blank antibacterial test discs (6 mm diameter, Oxoid) which were later dried and placed on nutrient agar plates. The plates were incubated at 37°C for 24 h, then the inhibition zones were measured in mm. Fifteen microliters of DMSO played the role of a negative control, while Amikacin (30 µg/disk) and Tetracycline (30 µg/disk) were used as positive controls[18].

## 2.4 DPPH radical scavenging activity

DPPH (2,2-diphenyl-1-picryl-hydrazyl) radical scavenging activity of all compounds was studied by applying the method described in literature with some modifications [19]. Briefly, 0.5 mL of DMF solution of the test compounds was added to 2 mL of methanolic solution of DPPH. The final concentrations of the test compounds in the reaction mixtures were 5, 10, 25, 50 and 100 mg/L. The mixture was shaken vigorously and had been incubated for 30 min at room temperature in the dark. The decrease in absorbance of DPPH at 517 nm was measured afterwards. A control reaction was experimented without the DMF solution of compounds. DMF solution was used as the blank control. The DPPH scavenging activity was calculated according to the equation:

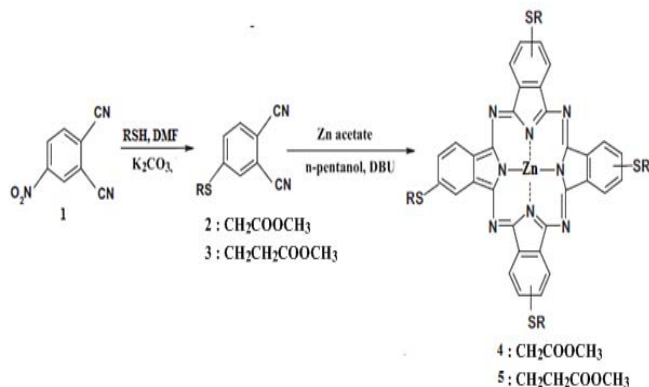
$$\text{Scavenging activity(\% control)} = (A_{\text{control}} - A_{\text{sample}}) / A_{\text{control}} \times 100$$

Where A<sub>sample</sub> is the absorbance in the presence of sample and A<sub>control</sub> is the absorbance in the absence of sample, respectively. Experimental results were compared with Ascorbic acid and Trolox. The obtained data are the arithmetic mean of three measurements

## 3. Results and discussion

### 3.1 Synthesis and characterization

The synthetic route followed for the synthesis of phthalonitrile derivatives **2** and **3** and novel thiol-derivatized zinc(II) phthalocyanines complexes **4** and **5** are given in Scheme 1.



**Scheme 1:** The synthetic route of the novel compounds (2-5).

Phthalonitrile derivatives **2** and **3** were obtained through the reaction of nucleophilic substitution reaction between 4-nitrobenzodicyanide and methyl 2-mercaptoacetate or methyl 3-mercaptopropanoate at room temperature under nitrogen atmosphere in dry DMF in the presence of  $K_2CO_3$  as a base with 87% yield (**Scheme 1**). This reaction has been used in the preparation of a variety of ether or thioether substituted phthalonitriles [20], [21]. Cyclotetramerization of the phthalonitrile derivatives **2** and **3** in a high-boiling solvent n-pentanol in the presence of a few drops 1,8-diazabicyclo[5.4.0]undec-7-ene DBU as a strong base and anhydrous  $Zn(CH_3COO)_2$  at reflux temperature under a nitrogen atmosphere afforded the zinc phthalocyanines **4** and **5**. Purification of the novel synthesized phthalocyanines was achieved by column chromatography on silica gel by using  $CH_2Cl_2$  and  $C_2H_5OH$  mixtures as the eluent. The route for the synthesis of compounds (**2-5**) is shown in **Scheme 1**. The characterization of the compounds was carried out by the combination of several methods, including elemental analysis, IR,  $^1H$  NMR,  $^{13}C$  NMR, mass characterization techniques and UV-vis spectra. The compounds structures were confirmed by the results of these analyses.

The  $-C\equiv N$  band in the IR spectrum of compound **2** was observed, as expected, at  $2226$  and  $2232\text{ cm}^{-1}$  for **3**. Also the appearance of new absorptions at  $910-827$  and  $914-839\text{ cm}^{-1}$  belonging to (C-S-C) confirmed the proposed structures of the compounds **2** and **3** respectively. In the  $^1H$  NMR spectrum of compound **3**, the  $CH_2$  protons and the other  $CH_2$  were observed at  $\delta = 2.6-2.57$  and  $\delta = 3.2-3.15$  ppm as multiplet, respectively. The aromatic protons appear at  $\delta = 7.9-7.4$ . The singlet  $CH_3$  protons were at  $\delta = 3.8$  ppm. The structure of compound **3** is confirmed by  $^{13}C$  NMR spectrum. Stable molecular ion  $[M]^+$  peaks  $m/z$  at  $332.26$  and  $246.29$  in the mass spectra of compounds **2** and **3** were reflected that target compounds were successfully prepared. The most important proof of the cyclotetramerization of nitrile groups is the absence of the  $-C\equiv N$  vibrations at  $2226\text{ cm}^{-1}$  and  $2232\text{ cm}^{-1}$  for compounds **2** and **3** respectively in IR spectra of the substituted phthalocyanine compounds (**4** and **5**). The rest of the spectra were not very different from that of the corresponding phthalonitrile compounds.

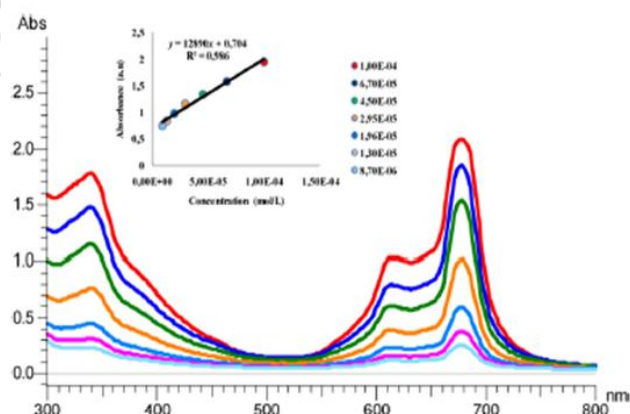
### 3.2 Ground state electronic absorption and aggregation properties

All substituted zinc (II) phthalocyanines were soluble in most of organic solvents such as tetrahydrofuran (THF), dichloromethane (DCM) and dimethyl sulfoxide (DMSO). Phthalocyanines display typical electronic spectra with two absorption regions, one is called Q-band at around  $600-700\text{ nm}$  and arise from  $\pi-\pi^*$  transitions from highest occupied molecular orbital (HOMO) to the lowest unoccupied molecular orbital (LUMO) of the Pc ring, other one is called B-band at around  $300-350\text{ nm}$  and result from deeper  $\pi$  levels-LUMO transitions [22]. The spectral data are listed in **Table 1**.

**Table 1:** UV-visible data for the phthalocyanine compounds 5-6

Compound	Solvent	$\lambda_{max}/nm$ ( $\log \epsilon / dm^3 mol^{-1} cm^{-1}$ )	
		B band	Q band
<b>4</b>	THF	340(4,42)	616(4,18), 678(4,49)
<b>5</b>	THF	348(4,18)	615(3,83), 681(4,34)

Aggregation is usually depicted as a coplanar association of rings progressing from monomer to dimer and higher order complex. It is dependent on the concentration, nature of the solvent, nature of the substituents, complexed metal ions and temperature. Non-aggregated phthalocyanines have received considerable attention. Phthalocyanine compounds have a high aggregation tendency due to the interaction between their  $18\pi$  electron systems and the aggregation decreases the solubility of these compounds in solvents. These compounds, normally with thioester substituents, possess good solubility, which can facilitate the purification and characterization processes. The non-aggregated nature can also prevent undesirable effects arising from stacking of molecules [23]. Generally the increasing concentration of Pcs leads to aggregation, which is observed by the position of Q bands, which shift to higher energies by a parallel decrease in the molar absorption. The aggregation behavior of the phthalocyanine complexes **4** and **5** were also investigated at different concentrations in THF (**Figure 1** for compound **4** and **Figure 2** for compound **5**).



**Figure 1:** The aggregation behavior of phthalocyanine **4**

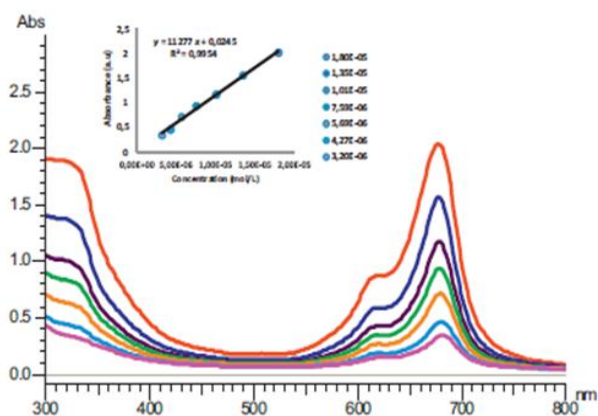


Figure 2: The aggregation behavior of phthalocyanine 5

In THF, as the concentration was increased, the intensity of absorption of the Q band corresponding to monomeric species also increased and there were no new bands due to the aggregated species for both of the complexes. Beer-Lambert law was obeyed in the concentrations ranging from  $8.7 \times 10^{-6}$  to  $1 \times 10^{-4}$  for compound 4 and from  $3.2 \times 10^{-6}$  to  $1 \times 10^{-5}$  M for compound 5, respectively. Due to the nature of methyl 2-mercaptoacetate and methyl 3-mercaptoacetate substituents, it can clearly be concluded that the phthalocyanine derivatives (4 and 5) did not show aggregation in THF for the studied concentrations.

### 3.3 Scavenging activity on DPPH radicals

DPPH free radical scavenging activity is described as a simple, fast, widely used and suitable method independent of sample polarity for measuring of many samples for free radical scavenging ability [24], [25]. DPPH certainly accepts an electron or hydrogen radical to become a stable diamagnetic molecule which is relatively stable nitrogen centered free radical [26]. This activity can be used to quickly determine the antioxidant capacity [27]. Newly synthesized compounds 4 and 5 were experimented for free radical scavenging ability. Ascorbic acid and Trolox were used as standard compounds. The DPPH free radical scavenging activity increased with the increasing concentrations of studied compounds 4 and 5 (figure 3).

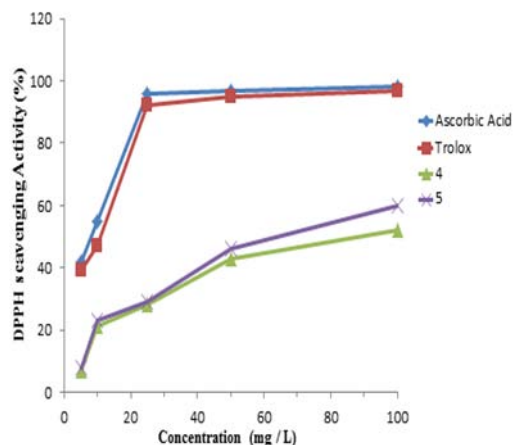


Figure 3: Radical-scavenging activity on DPPH radicals (%) of the compounds

The maximum free radical scavenging activity was found as 44.8% for compound 5 at the concentration of 100 mg/L and the minimum DPPH activity was found to be 17.6% with 6 at 100 mg/mL. The results revealed that all of the DMF solution of compounds showed low DPPH radical scavenging activity compared to Ascorbic acid and Trolox.

### 3.4 Antibacterial activity

In this study, antibacterial activity of compounds was also investigated. The phthalocyanine compounds were studied for in vitro antibacterial activity by the disk diffusion method. The results of antibacterial activities of test compounds are demonstrated in Table 2.

Table 2: Antimicrobial activity of compounds and standard antibiotics

Bacteria	Compounds and standard antibiotic disks <sup>a</sup>			
	4	5	AK	TE
<i>P. aeruginosa</i>	11	12	20	24
<i>E. coli</i>	9	10	24	24
<i>S. aureus</i>	8	9	22	24
<i>M. luteus</i>	12	14	16	24

<sup>a</sup>Inhibition diameter in millimeters. AK = Amikacin (30 µg), TE = Tetracycline (30 µg)

It was obtained that, compounds 4 and 5 showed antibacterial activity against all bacteria. These observations are similar to earlier report by Tarafder et al. [28]. Among the tested compounds, compound 5 showed higher antibacterial ability followed by compound 4 against all studied bacteria. However, the tested standard antibiotics exhibited more antibacterial activity than the phthalocyanine complexes.

## 4. Conclusion

In conclusion, the present work describes the synthesis and characterization of phthalocyanines bearing methyl 2-mercaptoacetate and methyl 3-mercaptoacetate substituents on the peripheral positions have been reported and these new complexes were characterized by elemental analysis, FTIR, <sup>1</sup>H NMR spectroscopy, electronic spectroscopy and mass spectrometry. The synthesized phthalocyanine complexes show excellent solubility in organic solvents such as, CHCl<sub>3</sub>, CH<sub>2</sub>Cl<sub>2</sub>, THF, DMF and DMSO. The aggregation behaviors of compounds 4 and 5 were investigated. These phthalocyanines showed monomeric behaviors in THF for studied concentration ranges. In addition, the antioxidant and antibacterial activities of compounds were investigated. With regard to the scavenging effect on free radical scavenging activity, compound 5 was the highest at concentration of 100 mg/L whereas the other compounds were low. All compounds were screened for antibacterial activity and the highest antibacterial activity of compounds 4 and 5 exhibited moderate to good activity against *M. luteus* and *P. aeruginosa*, respectively.

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