

# A Note on Finite Rank Quadratic Operators

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**Abstract:** The representation result of a finite rank quadratic operator is given. It has been shown that the space  $FQ(H)$  of finite rank bounded quadratic operators on a real separable Hilbert space  $H$  is equal to the cross spaces  $L(H) \otimes H$  and  $SL(H) \otimes H$  for a space  $SL(H)$  of self-adjoint bounded linear operators on  $H$  and the space  $L(H)$  of bounded linear operators on  $H$ . As an application of the concept of finite rank quadratic operator, the numerical range of an operator  $A \in SL(H)$  is derived.

**Keywords:** quadratic operator, bilinear operator, symmetric, bilinear functional

## 1. Introduction

Amson and Gopal Reddy [1] has developed an  $H^*$ -Algebra of Hilbert Schmidt quadratic operators on  $H$ . In this note we develop the cross space  $SL(H) \otimes H$  for the space  $SL(H)$  of self-adjoint operators on  $H$  analogue of Schatten [3] theory of the linear operator space  $H \otimes H$  and to show that the space  $FQ(H)$  of finite rank bounded quadratic operators on  $H$  is closed with

$$\|A \otimes x\|_{op} = \|A\|_{op} \|x\|_v$$

An operator  $Q: H \rightarrow H$  is a quadratic operator if there exists a bilinear operator  $\hat{Q}: H \times H \rightarrow H$  such that for all  $x \in H$ ,  $Qx = \hat{Q}(x, x)$  identically,  $\hat{Q}$  is unique if it is symmetric, it is then called the polarization of the quadratic operator  $Q$ . The space  $H$  will be assumed to be a real separable Hilbert space with Hilbert basis  $\{b_n\}$  and let  $\|\cdot\|_v$  and  $\|\cdot\|_{op}$  be the norms of vector and operator respectively. The operator  $Q$  has finite rank if its range has finite dimension, and then that its rank is the dimension of its range. Every bounded quadratic functional is of finite rank.

## 2. Preliminaries

An operator  $B: H \times H \rightarrow H$  sending  $(x, y) \rightarrow B(x, y)$  is called bilinear if it is linear in each variable separately and symmetric if  $B(x, y) = B(y, x)$  for all  $x, y$  belongs to real separable Hilbert space  $H$ . An operator  $Q: H \rightarrow H$  sending  $x \in H$  to  $Q(x) \in H$  is called quadratic if there exists a bilinear operator  $B: H \times H \rightarrow H$  such that  $Q(x) = B(x, x)$  for all  $x \in H$ . A bilinear operator  $B: H \times H \rightarrow H$  is said to be bounded if there exists a constant  $C > 0$  such that

$$\|B(x, y)\|_v \leq C \|x\|_v \|y\|_v \text{ for all } (x, y) \in H \times H$$

and

$$\|B\|_{op} = \sup\{\|B(x, y)\|_v : \|x\|_v, \|y\|_v \leq 1\}$$

is called the uniform norm of  $B$ .

A quadratic operator  $Q: H \rightarrow H$  is said to be bounded if there exists a constant  $C > 0$  such that

$$\|Q(x)\|_v \leq C \|x\|_v^2 \text{ for all } x \in X \text{ and}$$

$$\|Q\|_{op} = \sup\{\|Q(x)\|_v : \|x\|_v \leq 1\}$$

is said to be uniform norm of  $Q$ .

The following propositions are easy, so, left to reader.

**Proposition 2.1:** An operator  $\hat{Q}: H \times H \rightarrow H$  satisfying

$$Q(C_1 x_1 + C_2 x_2) = C_1^2 Q(x_1) + 2C_1 C_2 \hat{Q}(x_1, x_2) + C_2^2 Q(x_2)$$

For all  $x_1, x_2 \in H, C_1, C_2 \in F$  (Scalar field).

**Proposition 2.2:** If  $H$  is a real or complex Hilbert space.  $Q$  is a quadratic operator on  $H$  and  $\hat{Q}$  is its polarized operator (i.e. symmetric bilinear) then

$$\|\hat{Q}\|_{op} = \|Q\|_{op}$$

**Proof:** We can see easily by using the parallelogram law for the norm in a Hilbert space.

## 3. Main Results

In this section we obtain some results on finite rank bilinear and quadratic operators on real separable Hilbert space  $H$ .

**LEMMA 3.1:** Let  $H$  be a real separable Hilbert space, let  $A \in SL(H)$  be fixed,  $b \in H$  be fixed vector and define a mapping

$$T = A \hat{\otimes} b : (x, y) \rightarrow \langle x / Ay \rangle b \text{ for all } x, y \in H,$$

$A \hat{\otimes} b$  is a bounded bilinear operator from  $H \times H \rightarrow H$  and

$$\|A \hat{\otimes} b\|_{op} = \|A\|_{op} \|b\|_v$$

**Proof:** We have  $T(x, y) = \langle x / Ay \rangle b$

(1)

As  $A$  is bounded and  $b$  is fixed vector, clearly  $T = A \hat{\otimes} b$  bounded. By definition of boundedness of bilinear operator we obtain  $\|T\|_{op} \leq \|A\|_{op} \|b\|_v$ . By taking  $x = Ay$  in (1) we get reverse inequality.

**LEMMA 3.2** Let  $L(H) \otimes H$  be the vector space generated by all bilinear operators of the form  $A \otimes b$ , i.e.

$$T \in L(H) \otimes H \text{ if and only if } T = \sum_{n=1}^N A_n \otimes b_n \text{ for some}$$

$N \geq 1$  and

$A_n \in SL(H) \subset (H), b_n \in H$ . Let  $FB(H)$  be stand for the space of all bounded bilinear operators  $B: H \times H \rightarrow H$  such that the dimension of the smallest vector subspace containing range (B) is finite, i.e.  $\text{rank}(B) < \infty$ . Then  $SL(H) \otimes H = FB(H)$ .

**Proof:** We can see that  $T = \sum_{n=1}^N A_n \otimes b_n \in L(H) \otimes H$  is

bilinear and bounded on  $H \times H$ . We know from lemma 3.1, that each expression is bilinear and bounded. Since, each  $A_n \otimes b_n$  is finite rank operator (i.e. its rank is 0 or 1), so, rank of  $T \leq$  sum of finite ranks, so,  $T$  is finite rank operator and that implies  $T$  belongs to  $FB(H)$ .

To prove converse, let  $T \in FB(H)$ . So there exists a finite Hilbert basis  $\{b_1, b_2, \dots, b_N\}$ , Let  $z \in \text{range}(T)$ , so  $z = T(x, y)$  for vectors  $x, y \in H$ . By Fourier representation, we have

$$T(x, y) = \sum_{n=1}^N \langle T(x, y) / b_n \rangle b_n \quad \forall n$$

$$\langle T(x, y) / b_n \rangle = T_n(x, y)$$

where  $T_n(x, y)$  is "Co-ordinate wise bilinear functional". Since  $T$  is symmetric, so each coordinate bilinear functional is symmetric, hence there exists a corresponding self-adjoint linear operator such that  $T_n(x, y) = \langle A_n x / y \rangle$ . Hence on using the definition of  $A \otimes b$ , we get

$$T(x, y) = \sum_{n=1}^N \langle A_n x / y \rangle b_n = \sum_{n=1}^N (A_n \otimes b_n)(x, y)$$

Therefore  $T \cong \sum_{n=1}^N A_n \otimes b_n$  with respect to the chosen basis.

**Theorem 3.1:** Let  $H$  be a real Hilbert space, let  $A \in L(H), b \in H$  and define a mapping

$$Q = A \otimes b: x \rightarrow \langle x / Ax \rangle b \quad \forall x \in H, \text{ then}$$

$Q = A \otimes b$  is a bounded quadratic operator on  $H$  and

$$\|A \otimes b\|_{op} = \|A\|_{op} \|b\|_v$$

**Proof:** Let  $Q = A \otimes b: H \rightarrow H$  be an operator, defined by

$$Qx = (A \otimes b)x = \langle x / Ax \rangle b \quad \forall$$

$x \in H$ .

Let  $B: H \times H \rightarrow H$  by  $B(x, y) = \langle x / Ax \rangle b$ . From lemma 3.1  $B$  is bounded and symmetric. Now,  $B(x, x) = Qx, \forall x \in H$ . hence  $Q$  is quadratic on  $H$ .  $Q$  is

obviously bounded and  $\|Q\|_{op} \leq \|A\|_{op} \|b\|_v$ . To prove reverse inequality we polarize a quadratic operator  $Q$  as follows. Let  $\hat{Q}$  be the polarized operator of  $Q$ , and be defined by

$$\hat{Q}(x, y) = A \hat{\otimes} b(x, y) = \langle x / Ay \rangle b$$

on setting  $x = Ay$ , taking norm on both sides and using proposition 2.2, we get

$$\|A\|_{op} \|b\|_v \leq \|\hat{Q}\|_{op} = \|Q\|_{op}$$

Hence required result.

**Theorem 3.2:** Let  $L(H) \otimes H$  be the vector space generated by all quadratic operators of the form  $A \otimes b$  i.e.

$$T \in L(H) \otimes H \text{ if and only if } T = \sum_{n=1}^N A_n \otimes b_n \text{ for some}$$

$N \geq 1$  and  $A_n \in SL(H), b_n \in H$ , we write  $FQ(H)$  for the set of all quadratic operators  $Q: H \rightarrow H$  such that the rank of  $Q$  is finite, then  $FQ(H)$  is a normed linear space and  $SL(H) \otimes H = FQ(H)$ .

**PROOF :** Let  $T \in L(H) \otimes H$  implies  $T = \sum_{n=1}^N A_n \otimes b_n$ .

For every  $x \in H$ , we define  $Tx = \left( \sum_{n=1}^N A_n \otimes b_n \right) x$ . We

proved in theorem 3.1, each  $A_n \otimes b_n$  ( $n = 1, 2, \dots, N$ ) is quadratic and bounded. Hence  $T = \sum_{n=1}^N A_n \otimes b_n$  is bounded

quadratic operator. As each  $A_n \otimes b_n$  ( $n = 1, 2, \dots, N$ ) is finite rank operator,  $T$  is finite rank operator. So,  $T \in FQ(H)$ . We can see that  $FQ(H)$  is a vector space and

is normed space with  $\|Q\| = \inf \sum \|A_n\| \|b_n\| \quad \forall n$ .

To prove converse, let  $T \in FQ(H)$ , That implies that  $\text{Range}(T) = N < \infty$ . So, there exists a finite Hilbert basis  $\{b_1, b_2, \dots, b_N\}$ . Let  $z \in \text{Range}(T)$ . So,  $z = Tx$  for  $x \in H$ . By fourier representation we have

$$Tx = \sum_{n=1}^N \langle Tx / b_n \rangle b_n$$

On polarizing  $T$  to  $\hat{T}$ , we get

$$\hat{T}(x, y) = \sum_{n=1}^N \langle \hat{T}(x, y) / b_n \rangle b_n. \text{ Since } \hat{T} \text{ is bilinear,}$$

hence by lemma 3.2. there exists self adjoint linear operators  $A_1, \dots, A_N$  such that

$$\hat{T}(x, y) = \sum_{n=1}^N \langle A_n x / y \rangle b_n$$

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Since T is quadratic, so  $\hat{T}(x, x) = Tx$ .

$$Tx = \sum_{n=1}^N A_n \otimes b_n)x.$$

$$T \approx \sum_{n=1}^N A_n \otimes b_n$$

Hence the theorem.

#### 4. Application

Here we use the concept of finite rank quadratic operator to derive the numerical range of self-adjoint operator. By using theorem 3.1 we can easily prove that the numerical range of an operator is equal to the norm of an operator.

#### References

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