

# An Analysis of Legal Provisions and Fundamental Duties on Solid Waste Management in India

Dr. Arun Chauhan<sup>1</sup>, Asmita Chauhan<sup>2</sup>

Worked in Sanitation Field as District Coordinator

Advocate at District and Sessions Court Udaipur (LLB, LLM)

**Abstract:** India is facing a growing crisis in managing solid waste, one that mirrors its swift urban expansion and shifting lifestyle patterns. In my view, the scale of the challenge is not merely statistical it is structural, institutional, and deeply civic in nature. While the 2016 Solid Waste Management Rules signal a progressive shift in scope and inclusivity, extending responsibility to diverse generators beyond municipal confines, the deeper narrative lies in how these legal instruments engage with constitutional directives and the everyday duties of citizens. It is evident that the Constitution of India, through Articles like 21, 47, and 48-A, doesn't just acknowledge environmental well-being it embeds it as a shared civic and state obligation. This article thoughtfully traces the evolution of India's legal landscape from early frameworks like the 2000 Municipal Solid Waste Rules to more recent reforms under the Bharatiya Nyaya Sanhita and Bharatiya Nagarik Suraksha Sanhita, which treat waste mismanagement as a public nuisance. What stands out is the nuanced interplay between these evolving statutes and fundamental duties, hinting at a legal system that aspires not just to regulate waste but to embed sustainability into the very conscience of governance and citizenship. This suggests that legal reform alone won't suffice civic participation, public accountability, and inter-agency cooperation must be harmonized to shift the paradigm from reactive management to preventive responsibility.

**Keywords:** solid waste management, Indian Constitution, civic responsibility, environmental law, public nuisance

## 1. Introduction

India stands at a critical juncture in managing its burgeoning solid waste, a problem exacerbated by rapid urbanization, population growth, and evolving consumption patterns. Projections indicate that Indian cities will generate approximately 435 million tonnes of solid waste by 2025. This escalating crisis is already evident in the 170,000 tonnes of municipal waste generated daily in the financial year 2021-22, of which only a fraction was adequately treated. The potential for future environmental and public health crises due to toxicity and the scarcity of suitable dumping grounds is significant. Addressing this challenge necessitates a comprehensive approach that encompasses a robust legal framework and the active participation of citizens fulfilling their fundamental duties towards environmental protection. The interplay between these legal mandates and civic responsibilities is pivotal in shaping an effective and sustainable solid waste management system for the nation. This report aims to provide a detailed analysis of the existing legal provisions governing solid waste management in India, the roles and responsibilities assigned to various stakeholders, the fundamental duties of citizens in this context, the challenges encountered in implementing these regulations, and potential avenues for enhancing the overall framework.

### Indian Constitution and Waste Management

India is the first country that has made constitutional provisions for protection and improvement of the environment. The Indian constitution provides a broad framework of powers and functions in relation with maintenance of safe and healthy environment for people and other living ones. Article 21- Right to life and personal liberty. According to this article of constitution it says 'No person shall be deprived of his life or personal liberty except according to procedure established by law', and also provides Fundamental Rights viz "Right to Life" Supreme Court of

India has included the "Right to Healthy Environment within the ambit of 'Right to life'. These rights can be interpreted and as used as tool for sustainable development. Article 38 – State to secure a social order for the promotion of welfare of people. This article of Constitution says to secure a social order for the promotion of welfare of people. Article 47 – Duty to raise the level of nutrition and standard of living and to improve public health. Constitution states that it is the primary duty of the state to raise the standard of living of the people, to increase the nutritional level of the people and to bring improvement in public health. Article 48-A – Protection and improvement of environment and safeguarding of forests and wildlife.

According to this article the constitution states that the 'The state has to protect and improve the environment and to safeguard the forests and wildlife'. Article 243 (W) of the constitution specifies the powers, authority and responsibility of the municipalities to carry out functions that are relevant to solid waste management, public health, sanitation conservancy and protection of environment, safeguarding interests of weaker sections and urban poverty alleviation. The constitution also imposes certain duties on citizens of the country and courts have expanded the understanding of certain provisions in keeping with changing times.

### Criminal Laws and the Waste Management

There are two major criminal laws dealing with solid waste management, the These provisions have formed the basis for the outcome of current environmental governance for the protection of environment in India. Bharatiya Nyaya Sanhita 2023 and the Bharatiya Nagarik Suraksha Sanhita 2023. These provisions have formed the basis for the outcome of current environmental governance for the protection of environment in India. These provisions have formed the basis for the outcome of current environmental governance for the protection of environment in India. The Bharatiya Nyaya

Sanhita 2023 has dealt with solid waste management under Chapter XV 'of offences affecting the public health, safety, convenience, decency and morals. Solid waste is equated with 'public nuisance' under this code, enacted during the British times. Since, solid waste gives rise to various types of diseases and is dangerous to public health, it has been treated as 'public nuisance' and made punishable. Provisions under the Bharatiya Nagarik Suraksha Sanhita 2023, Section 152 of the Bharatiya Suraksha Sanhita 2023 deals with 'conditional order for removal of nuisance' and empowers the Sub-Divisional Magistrate or any executive Magistrate, on receiving information to order the removal of the public nuisance and desist from carrying any trade, business that is causing public nuisance

## 2. Overview of the Legal Framework for Solid Waste Management in India

### Historical Evolution

The legislative efforts to manage solid waste in India have evolved over time, reflecting a growing awareness of the environmental and health implications of improper waste disposal. Prior to the current regulatory regime, the **Municipal Solid Wastes (Management and Handling) Rules, 2000** were the primary legislation governing this sector for sixteen years.<sup>1</sup> These rules laid the initial groundwork for a systematic approach to managing municipal solid waste, focusing on collection, segregation, storage, transportation, processing, and disposal.<sup>2</sup> However, with increasing urbanization and the diversification of waste streams, the need for a more comprehensive and updated framework became apparent.

### Detailed Analysis of the Solid Waste Management Rules, 2016

Recognizing the limitations of the earlier legislation, the Ministry of Environment, Forest and Climate Change (MoEF & CC) notified the **Solid Waste Management Rules, 2016**, superseding the 2000 rules.<sup>3</sup> These new rules represent a significant step forward in India's approach to solid waste management, with an expanded scope and a greater emphasis on source segregation and waste utilization.

### Scope and Applicability

A key feature of the 2016 rules is their extended applicability beyond the traditional municipal areas. The rules now encompass urban agglomerations, census towns, notified industrial townships, areas under the control of Indian Railways, airports, airbases, ports and harbors, defense establishments, special economic zones, and State and Central government organizations.<sup>5</sup> This broadened jurisdiction acknowledges that waste generation is not limited to municipal boundaries and necessitates a unified regulatory approach across various entities and regions.

### Key Definitions and Concepts

The Solid Waste Management Rules, 2016, provide clear definitions for various terms crucial to understanding and implementing the regulations. These include defining a "bulk

waste generator" as establishments generating more than a specified quantity of waste, "bio-degradable waste" as organic matter that can be decomposed by microorganisms, "non-biodegradable waste" as waste that cannot be easily decomposed, and "domestic hazardous waste" which includes items like diapers and cleaning agents.<sup>3</sup> The rules also define key processes such as "composting" as the microbial decomposition of organic matter, "bio-methanation" as the anaerobic digestion of organic matter, "refuse derived fuel (RDF)" as fuel produced from processing municipal solid waste, and "sanitary landfill" as a designed facility for the safe disposal of residual waste.<sup>3</sup> These definitions ensure clarity and facilitate consistent interpretation and application of the rules across different contexts.

### Mandates for Waste Segregation at Source

A cornerstone of the 2016 rules is the mandatory segregation of waste at the source by all waste generators.<sup>1</sup> Households and other waste generators are required to separate their waste into three distinct streams: biodegradable waste (wet waste), dry waste (recyclable materials like plastic, paper, metal, and wood), and domestic hazardous waste (such as diapers, sanitary napkins, and cleaning agents).<sup>1</sup> This segregation is considered essential for channelizing waste for appropriate treatment, including composting, recycling, and safe disposal, thereby reducing the burden on landfills.<sup>4</sup> Bulk waste generators, such as hotels, restaurants, market associations, and gated communities, have been made directly responsible for segregation and sorting of waste at their premises.<sup>4</sup>

### Provisions for Collection, Storage, Transportation, Processing, and Disposal

The rules delineate the responsibilities of urban local bodies (ULBs) and other relevant authorities in managing solid waste. This includes arranging for door-to-door collection of segregated waste from all households and establishments.<sup>11</sup> ULBs are also tasked with establishing secondary storage facilities for temporary containment of waste after primary collection.<sup>3</sup> The rules emphasize the need for proper transportation of segregated waste to processing or disposal facilities.<sup>12</sup> For processing, the rules promote technologies like composting and bio-methanation for biodegradable waste, encouraging decentralized processing within the premises of bulk waste generators wherever feasible.<sup>5</sup> The establishment of sanitary landfills is mandated for the safe disposal of residual and inert waste, with specific guidelines for their design and operation to prevent environmental pollution.<sup>4</sup>

### Regulations for Landfill Management and Waste-to-Energy Plants

Recognizing the environmental impact of landfills, the 2016 rules stipulate that non-recyclable waste with a calorific value of 1500 Kcal/kg or more should not be disposed of in landfills but should instead be utilized for generating energy, either through refuse-derived fuel (RDF) or by co-processing in cement or thermal power plants.<sup>1</sup> The rules also lay down stringent criteria for landfill sites, including minimum distances from rivers, ponds, highways, habitations, public parks, water supply wells, and airports/airbases, to minimize

potential risks to the environment and public health.<sup>4</sup> Emission standards for landfills have also been revised to include parameters for dioxins and furans, with reduced limits for particulate matter.<sup>4</sup>

### Analysis of the Proposed Solid Waste Management Rules, 2024

Building upon the experience of implementing the 2016 rules, the Indian government has proposed new rules for solid waste management, with an intended effective date of October 1, 2025.<sup>14</sup> These proposed **Solid Waste Management Rules, 2024**, aim to further refine the existing framework and address emerging challenges in the sector.

### Key Amendments and New Provisions

The proposed rules introduce several notable changes and new provisions. Sanitation workers are empowered to levy fines on those not segregating waste and can refuse to collect unsegregated waste.<sup>14</sup> Mandatory waste segregation at source is reinforced for a wider range of establishments, including hotels, malls, residential complexes, and educational institutions.<sup>14</sup> Gram Panchayats will be responsible for preventing agricultural waste burning and filing annual reports on residue management.<sup>14</sup> A significant addition is the provision for environmental compensation (penalty) based on the 'polluter pays' principle for non-compliance.<sup>14</sup> The rules also elaborate on the duties of all waste generators and provide guidelines for the economic utilization of waste, including 'waste to energy' processes and circular economy approaches.<sup>14</sup>

### Focus on Rural Local Bodies and Bulk Waste Generators

The 2024 draft explicitly includes Rural Local Bodies within its ambit, recognizing the need for effective waste management in rural areas as well.<sup>15</sup> Gram Panchayats are assigned specific responsibilities for managing agri-residue, including establishing collection and storage facilities and preventing open burning.<sup>14</sup> The definition and responsibilities of Bulk Waste Generators (BWGs) are expanded, with more detailed mandates for waste segregation, on-site processing of biodegradable waste, and proper handling of other waste streams.<sup>15</sup> The draft also introduces a centralized MIS portal for BWG registration, compliance monitoring, and waste management data tracking.<sup>15</sup>

### Introduction of Environmental Compensation and Enhanced Monitoring Mechanisms

A key feature of the proposed rules is the introduction of environmental compensation to ensure stringent monitoring and implement the 'polluter pays' principle.<sup>14</sup> This penalty will be levied by State Pollution Control Boards (SPCBs) or District Collectors on BWGs for mismanaged waste, environmental harm, and reporting lapses.<sup>15</sup> The draft also emphasizes enhanced monitoring mechanisms, including the creation of a centralized online portal for registration, compliance monitoring, and data tracking for BWGs and other stakeholders.<sup>15</sup> This aims to improve transparency and accountability in waste management practices across the country.

### Other Relevant Environmental Laws and Policies

The Solid Waste Management Rules operate within a broader framework of environmental laws and policies in India. The **Environment (Protection) Act, 1986**, provides the overarching legal basis for environmental protection and is the enabling legislation under which the SWM Rules are notified.<sup>3</sup> The **Swachh Bharat Abhiyan (Clean India Mission)**, launched in 2014, provides a significant national impetus and framework for achieving comprehensive cleanliness and sanitation across the country, including effective solid waste management.<sup>17</sup> Additionally, specific rules address other waste streams, such as the **E-Waste (Management) Rules, 2022**, the **Plastic Waste Management Rules, 2016**, highlighting the sector-specific regulatory approaches within the broader waste management landscape.<sup>20</sup>

### Plastic Waste Management (Amendment) Rules 2024

The Environment Ministry has introduced the Plastic Waste Management (Amendment) Rules 2024 to amend the Plastic Waste Management Rules 2016. The amended rules make it harder for makers of disposable plastic ware to label such products as 'biodegradable' and introduce a stipulation that the makers of disposable plastic must not leave any microplastics behind. Microplastics are defined as any solid plastic particle insoluble in water, with dimensions between 1 µm and 1,000 µm (1 µm is one-thousandth of a millimeter). In recent years, they have been reported as a major source of pollution affecting rivers and oceans.

### The Objective of the Plastic Waste Management Rules 2016

- 1) To increase minimum thickness of plastic carry bags from 40 to 50 microns and stipulate minimum thickness of 50 micron for plastic sheets also to facilitate collection and recycle of plastic waste
- 2) Expand the jurisdiction of applicability from the municipal area to rural areas, because plastic has reached rural areas also
- 3) To bring in the responsibilities of producers and generators, both in the plastic waste management system.
- 4) To introduce a collect back system of plastic waste by the producers/brand owners, as per extended producers' responsibility.
- 5) To promote use of plastic waste for road construction as per Indian Road Congress guidelines or energy recovery, or waste to oil, etc.

### Need to Amend the Plastic Waste Management Rules

- 1) Biodegradable plastic and compostable plastic are projected as the two broad kinds of technological fixes to India's burgeoning problem of plastic waste pollution.
- 2) Biodegradable plastic involves plastic goods being treated before they are sold.
  - When discarded, the material is expected to decompose naturally over time though there are no tests yet to determine if such plastics completely degrade.

- 3) Compostable plastics, on the other hand, do degrade, but require industrial or large municipal waste management facilities to do so.
- 4) The Union government banned single-use plastic in 2022, and recommended the adoption of biodegradable plastic.
  - However, the question of what exactly constituted biodegradable plastic was unanswered.
  - Several firms were left in the lurch as the Central Pollution Control Board (CPCB) refused to provide them with a 'provisional certificate' to license their products as biodegradable.
  - This was because the rules do not specify exactly what degree of degradation would merit such a certificate.

### Evolution of Plastic Waste Management Rules in India

Amendments have been made to Plastic Waste Management Rules in India over the years. The amendments are as follows: India Plastic Waste Management Rules, 2016

The key features focused on the mandatory segregation of waste at source, promotion of reuse and recycling of plastic material and the ban on plastic bags below 50 microns. The rules also introduced the EPR policy. 2018 Amendment The 2018 Plastic waste management rules amendment expanded EPR to include more stakeholders making them more accountable for plastic waste management. The rules also strengthened urban local bodies (ULBs) role in enforcement.

2021 Amendment: The 2021 amendments in the Plastic Waste Management rules, India has introduced phased bans on single-use plastics. The rules also encouraged alternatives like biodegradable and compostable plastics.

2024 Amendment: These amendments in the plastic rules, India introduced the definition of producer including both importers and brand owners. The rules also highlighted mandatory EPR compliance for producers to manage the lifecycle of their products. In addition to this, increased thickness requirements for plastic bags from 50 microns to 75 microns was added along with an emphasis on non-compliance through the introduction of penalties.

### Key Provisions of Plastic Waste Management Rules:

The key provisions of the Plastic Waste Management Rules in India are as follows:

- 1) Ban on plastic bags below 50 microns to discourage single-use plastics.
- 2) Mandatory segregation of waste at the source.
- 3) Promotion of recycling and reuse of plastic materials.
- 4) MOEF has revised provisions relating to plastic packaging and commodities made from compostable plastics or biodegradable plastics.
- 5) The plastic rules in India have also added definitions of "importer", "manufacturer" and "producer" are revised and more clarified.
- 6) Definition of "seller" has been added.
- 7) Some provisions are inserted which enables "Urban Local Bodies" and the Panchayat at district level to assess the plastic waste generated, plastic waste management infrastructure available for collection/ segregation/ processing and to measure to prevent stocking,

distribution, sale and usage of prohibited single use plastic items in their jurisdiction. The reports will also be required to be sent to the State Pollution Control Board or Pollution Control Committee by 30th June of each year.

### 8) Revised Marking or labelling provisions:

- Each recycled plastic packaging or commodity will have to bear a label —recycled having (specify percentage) of recycled plastic and a mark and shall conform to the Indian Standard: IS 14534: 2023 titled as—Plastics — Recovery and Recycling of Plastics Waste — Guidelines, as amended from time to time.
- Each plastic packaging or commodity made from compostable plastics will have to bear a label —compostable only under industrial composting and shall conform to the Indian Standard: IS/ISO 17088:2021 titled as Specifications for Compostable Plastics.
- Revisions in the forms: Form I, Form V, Form VI and Form VII.

### Challenges in Implementing Plastic Waste Management Rules

The challenges in implementing plastic waste management rules in India are as follows:

The lack of processes and infrastructure becomes a key challenge during the collection, segregation, and recycling of plastic waste. Insufficient transportation further hampers the disposal and collection process.

- Informal Recycling Sector: The large presence of the informal market makes it difficult to formalize and regulate the plastic recycling rules in India.
- Behavioral Change: Changing consumer behavior and the lack of awareness about proper waste disposal and effective waste management practices add to the challenge.
- Advanced recycling technologies: Limitation in the access to advanced facilities for segregating and processing different types of plastic waste.
- Enforcing regulations: Limited resources and bodies to implement the plastic waste management laws. In addition to this, there is a lack of coordination and cooperation between stakeholders and bodies involved. The absence of clear guidelines contributes to inefficiencies in managing plastic waste.

### 3. Roles and Responsibilities of Key Stakeholders

Effective solid waste management is a shared responsibility that requires the active participation and diligent execution of duties by various stakeholders, as outlined in the legal framework.

#### 3.1 Waste Generators

##### 3.1.1 Duties of Households

Households, as the primary source of municipal solid waste, have a fundamental responsibility to segregate their waste at the source into biodegradable, dry recyclable, and domestic hazardous streams.<sup>5</sup> This segregated waste must be stored



properly in suitable bins and handed over to authorized waste collectors.<sup>6</sup> Furthermore, all waste generators are obligated to pay user fees for waste collection services and may be subject to spot fines for littering or non-segregation.<sup>5</sup>

### 3.1.2 Duties of Commercial Establishments and Industries

Commercial establishments and industries also have the responsibility to segregate and store their waste in accordance with the rules.<sup>5</sup> Bulk waste generators, which include hotels, restaurants, market associations, and gated communities, have additional obligations, such as ensuring on-site processing of their biodegradable waste through composting or bio-methanation as far as possible and properly disposing of other waste streams through authorized channels.<sup>5</sup>

### 3.1.3 Duties of Bulk Waste Generators

Bulk Waste Generators (BWGs) have specific and more stringent responsibilities under the rules. They are mandated to ensure source segregation of waste into the prescribed streams.<sup>5</sup> A key obligation for BWGs is to process their biodegradable waste within their premises through composting or bio-methanation whenever feasible.<sup>5</sup> They are also responsible for handing over recyclable materials to authorized waste pickers or recyclers and ensuring that residual waste is given to designated waste collectors.<sup>5</sup> Under the proposed 2024 rules, BWGs may also be liable for environmental compensation for non-compliance with these mandates.<sup>14</sup>

## 3.2 Urban and Rural Local Bodies

### 3.2.1 Responsibilities for Waste Collection, Transportation, Processing, and Disposal

Urban and Rural Local Bodies (ULBs and RLBs) bear the primary responsibility for the collection, transportation, processing, and disposal of solid waste within their jurisdictions.<sup>1</sup> This includes establishing systems for door-to-door collection of segregated waste, setting up and maintaining necessary infrastructure for secondary storage, and ensuring the safe and efficient transportation of waste to processing and disposal facilities.<sup>5</sup> ULBs and RLBs are also responsible for establishing and operating waste processing facilities, such as composting plants, bio-methanation units, and RDF plants, as well as developing and maintaining sanitary landfills for the disposal of residual waste.<sup>4</sup> They are also empowered to implement user fees for waste management services and levy spot fines for littering and non-segregation to ensure compliance and financial sustainability.<sup>5</sup>

### 3.2.2 Infrastructure Development

A crucial responsibility of ULBs and RLBs is the planning, financing, and construction of adequate waste management infrastructure.<sup>9</sup> This includes setting up material recovery facilities (MRFs) for sorting and processing recyclable materials. The proposed 2024 rules specify timelines for the establishment of MRFs based on the population size of cities and towns, emphasizing the urgency of developing this infrastructure.<sup>15</sup> Investing in appropriate technologies and ensuring the operational efficiency of these facilities are essential for effective waste management.

### 3.2.3 Integration of the Informal Sector

The Solid Waste Management Rules, 2016, mandate the integration of waste pickers and rag pickers into the formal waste management system.<sup>1</sup> ULBs and RLBs are required to facilitate the formation of self-help groups or other organizations involving waste pickers and provide them with access to segregated waste and opportunities for better income and livelihoods.<sup>1</sup> This integration aims to streamline waste collection and recycling processes while also providing social and economic benefits to this traditionally marginalized group.

## 3.3 State and Central Government Agencies

### 3.3.1 Roles of MoEF&CC, CPCB, and SPCBs

State and Central government agencies play pivotal roles in formulating policies, setting standards, and overseeing the implementation of solid waste management rules across the country.<sup>1</sup> The Ministry of Environment, Forest and Climate Change (MoEF&CC) is the nodal ministry responsible for overall policy formulation and notification of rules and amendments.<sup>1</sup> The Central Pollution Control Board (CPCB) plays a crucial role in setting technical guidelines, monitoring the implementation of the rules, and developing centralized portals for data management, as seen in the proposed 2024 rules.<sup>15</sup> State Pollution Control Boards (SPCBs) are responsible for enforcing the rules at the state level, granting authorizations for waste processing facilities, and ensuring compliance by local bodies and other stakeholders.<sup>2</sup>

## 3.4 Manufacturers and Brand Owners

### 3.4.1 Obligations under EPR

Manufacturers and brand owners of products with non-biodegradable packaging have obligations under the principle of Extended Producer Responsibility (EPR).<sup>1</sup> They are required to put in place systems for collecting back the packaging waste generated from their products.<sup>1</sup> This may involve setting up collection centers, implementing take-back schemes, or partnering with local bodies or other agencies. Additionally, manufacturers and brand owners are often expected to provide financial assistance to local authorities for the development of waste management infrastructure and to educate consumers about the proper disposal of their products and packaging.<sup>1</sup>

## 3.5 Informal Waste Pickers

### 3.5.1 Recognition and Integration

The Solid Waste Management Rules, 2016, marked a significant step by formally acknowledging and mandating the inclusion of informal waste pickers and rag pickers into the waste management process.<sup>1</sup> This national policy recognizes the crucial role played by these individuals in waste recycling and resource recovery. ULBs and RLBs are directed to integrate them into their waste management systems, providing them with identity cards, access to segregated waste, and opportunities for improved livelihoods.<sup>1</sup> This integration aims to streamline operations, enhance recycling rates, and provide waste pickers with better income opportunities and working conditions.

#### 4. Fundamental Duties of Citizens and Environmental Stewardship

Beyond the legal obligations placed on various entities, the Constitution of India enshrines fundamental duties for every citizen, including the responsibility to protect and improve the natural environment.

##### Fundamental Duties

The Fundamental Rights enlisted in the Constitution bring in an interference of a set of duties which are essential for their realization. If these rights are to be available to people, they are obligated to perform their corresponding duties. Article 51-A Fundamental Duties (g) is also an important provision related with protection of the environment as 'It shall be the duty of every citizen of India to protect and improve the natural environment including forests, lakes, rivers and wildlife and to have compassion for living creatures. These provisions have formed the basis for the outcome of current environmental governance for the protection of environment in India.

Hygiene and cleanliness are directly linked with health and therefore required badly for full and proper enjoyment of life. The Supreme Court has held that the Right to Live under article 21 also includes the Right to a Clean Environment. From this right, there also flows an obligation to keep one's surrounding clean. Therefore, the duty to maintain decent standards of hygiene is not only owned to self but also to others.

However, this obligation to keep one's own premises clean has been largely ignored widespread littering and poor sanitary conditions have been common features of Indian society. To combat this problem the Honourable Prime Minister Shri Narendra Modi has a very thoughtful and excellent project launched the Swachh Bharat Mission on 2nd October 2014 the programme focuses on building toilets and solid waste management plants. The programme emphasizes the need for behavioral change with respect to littering and community led cleanliness drives, it has received tremendous support from the people from all lives all walks of life.

There exists a constitution limitation on government on union government to act a legislation directly leading with sanitation and cleanness since 'public health' and sanitation fall within the state list. However, the government plans on introducing a model law to be forwarded to all states and its welcome move in the right direction.

The need to keep one's own premises clean has been recognized under the Factories Act 1948. It imposes a detailed obligation upon the occupier of factory premises to ensure that the factory is kept clean and free from effluvia. This obligation has occupied incorporated in the light of the health hazards that may ensue from an unclean factory premises.

In M C Mehta's versus Union of India & others the Supreme Court recognized the need for behavioural change and stressed upon the need for awareness. While issuing directions to the Municipalities it noted that:

*"Children should be taught about need for maintaining cleanliness commencing with the cleanliness of the house both inside and outside and at the streets in which they live. Clean surroundings lead to healthy body and healthy mind."*

The most effective mechanism to tackle uncleanness is to sensitise people about this duty. Therefore, it is imperative that fundamental duty to this effect be added to the constitution

##### Constitutional Mandate under Article 51A(g)

Article 51A(g) of the Constitution of India explicitly states that "it shall be the duty of every citizen of India to protect and improve the natural environment including forests, lakes, rivers and wildlife, and to have compassion for living creatures".<sup>16</sup> This provision underscores the constitutional commitment to environmental conservation and places a moral and ethical obligation on every individual to act as a steward of the environment.<sup>16</sup> While fundamental duties are not directly enforceable by courts in the same manner as fundamental rights or statutory laws, they serve as guiding principles for citizen conduct and can inform policy-making and judicial interpretations related to environmental protection.<sup>16</sup> The Supreme Court of India has also recognized the right to a clean and healthy environment as an integral part of the right to life under Article 21, further emphasizing the importance of environmental protection.<sup>27</sup>

##### Significance of Citizen Awareness, Responsibility, and Participation

The effective management of solid waste is heavily reliant on the awareness, responsibility, and active participation of citizens.<sup>11</sup> Citizens play a crucial role in ensuring the success of waste management initiatives by adhering to the mandate of waste segregation at source.<sup>11</sup> Reducing waste generation through mindful consumption habits, practicing reuse and recycling, and properly disposing of waste are fundamental responsibilities of every citizen.<sup>11</sup> Avoiding littering and indiscriminate disposal of waste in public spaces, drains, or water bodies is another critical aspect of citizen responsibility.<sup>2</sup> Furthermore, the payment of user charges for waste management services is an essential contribution from citizens towards the financial sustainability of these systems.<sup>5</sup>

##### Role of Education and Awareness Campaigns

Fostering a sense of environmental stewardship and promoting responsible waste management practices requires comprehensive and sustained education and awareness campaigns.<sup>11</sup> These campaigns should aim to educate citizens about their fundamental duties towards environmental protection, the importance of waste segregation and proper disposal methods, and the detrimental environmental and health impacts of improper waste management.<sup>11</sup> Utilizing various media channels and community engagement strategies can help in reaching a wider audience and promoting behavioral change.<sup>13</sup> Incentivizing good practices, such as home composting, and implementing penalties for non-compliance can further encourage responsible citizen behavior in solid waste management.<sup>13</sup>

## 5. Challenges in Implementation and Enforcement

Despite the existence of a comprehensive legal framework and the constitutional emphasis on environmental duties, India faces numerous challenges in effectively implementing and enforcing the Solid Waste Management Rules.

### 5.1 Inadequate Infrastructure

A significant hurdle is the lack of adequate infrastructure for managing the vast quantities of solid waste generated across the country.<sup>17</sup> Many cities and towns lack sufficient facilities for the proper collection, segregation, transportation, processing, and scientific disposal of waste, leading to the accumulation of waste in open dumps and landfills operating beyond their capacity.<sup>17</sup> This infrastructure deficit is particularly pronounced in rapidly urbanizing areas and rural regions, where waste management systems are often rudimentary or non-existent.<sup>45</sup> The gap between the amount of waste generated and the capacity to treat it remains substantial.

### 5.2 Financial Constraints

Financial constraints pose a major impediment to the development and maintenance of effective solid waste management systems.<sup>17</sup> Local authorities often face limited budgets, making it challenging to invest in modern waste management technologies, upgrade infrastructure, and ensure the operational efficiency of existing facilities.<sup>17</sup> The high costs associated with waste collection, transportation, processing, and disposal place a significant strain on municipal finances, often diverting resources from other essential public services.<sup>40</sup>

### 5.3 Low Public Awareness and Participation

Insufficient public awareness and a lack of active participation from citizens in waste management initiatives remain significant challenges.<sup>19</sup> Despite the mandatory rules for waste segregation at source, compliance levels are often low due to a lack of understanding about the importance of segregation and proper disposal practices.<sup>19</sup> Effective waste management requires a sense of civic responsibility and community engagement, which is often lacking, hindering the success of even well-intentioned government efforts.<sup>13</sup>

### 5.4 Weak Enforcement Mechanisms

The enforcement of the Solid Waste Management Rules is often inconsistent and weak across different regions.<sup>1</sup> There is a lack of stringent monitoring mechanisms and meaningful penalties for non-compliance by waste generators, commercial establishments, industries, and even local authorities in some cases.<sup>1</sup> This lack of effective enforcement undermines the legal framework and reduces the incentive for stakeholders to adhere to the prescribed rules and practices.

### 5.5 Land Availability Issues

The scarcity of suitable land for establishing waste processing facilities and sanitary landfills poses a significant challenge,

particularly in densely populated urban areas and hilly regions where land is a premium resource.<sup>46</sup> The "Not In My Backyard" (NIMBY) syndrome also contributes to the difficulty in acquiring land for waste management infrastructure, as communities often resist the establishment of such facilities in their vicinity due to concerns about potential environmental pollution and aesthetic impacts.<sup>55</sup>

### 5.6 Challenges in Integrating the Informal Sector

While the SWM Rules mandate the integration of informal waste pickers into the formal waste management system, the practical implementation faces several challenges.<sup>1</sup> Providing formal recognition, ensuring social security benefits, and offering better working conditions to waste pickers require concerted efforts and resources from local authorities and other stakeholders.<sup>1</sup> Overcoming the existing social stigma associated with waste picking and ensuring their safety and health while handling waste are also critical aspects of successful integration.

### 5.7 Specific Challenges in Hilly Areas and Rural Regions

Hilly areas and rural regions often face unique challenges in solid waste management due to their specific geographical and socio-economic contexts.<sup>5</sup> Difficult terrain and dispersed populations make waste collection and transportation more complex and costly.<sup>45</sup> The lack of adequate infrastructure and financial resources in these regions further exacerbates the problem. Open burning and dumping of waste are prevalent practices in many rural areas due to the absence of organized waste management systems.<sup>14</sup> Therefore, waste management strategies for these areas need to be tailored to their specific needs and constraints, rather than simply replicating urban-centric models.

## 6. Enhancing the Legal and Implementation Framework

Addressing the challenges in solid waste management requires a multi-pronged approach that focuses on strengthening the legal provisions, improving enforcement mechanisms, promoting decentralized solutions, leveraging technology, and enhancing public awareness.

### 6.1 Recommendations for Strengthening Legal Provisions

Based on the analysis of implementation challenges and the proposed 2024 rules, further refinements to the legal framework are necessary to enhance its effectiveness. Clearer and more specific definitions for various types of waste and stakeholders, as well as more detailed responsibilities for different entities, would reduce ambiguity and facilitate better implementation.<sup>15</sup> Standardized formats for reporting waste generation, collection, processing, and disposal data across all local bodies and bulk waste generators would improve monitoring and accountability.<sup>15</sup> Incorporating specific provisions for waste management in special areas like hilly regions and rural areas, addressing their unique challenges, would also be beneficial.

## 6.2 Strategies for Improving Enforcement and Monitoring

Stricter enforcement of the SWM Rules is crucial for ensuring compliance. This can be achieved through regular inspections of waste management facilities and practices by regulatory bodies, as well as the use of technology for remote monitoring, such as GPS tracking of waste collection vehicles and sensors in bins to monitor waste levels.<sup>54</sup> Imposing meaningful penalties for non-compliance, including both monetary fines and other punitive actions, on waste generators, commercial establishments, industries, and local authorities, would create a stronger deterrent.<sup>13</sup> Encouraging community participation in monitoring waste management practices and reporting violations can also enhance enforcement efforts.<sup>13</sup>

## 6.3 Role of Municipal Bye-laws

Municipal bye-laws play a vital role in translating the national-level Solid Waste Management Rules into actionable regulations at the local level.<sup>11</sup> Local authorities should be encouraged and supported in framing and effectively implementing bye-laws that incorporate the provisions of the SWM Rules, 2016, while also tailoring them to the specific local context, including geographical conditions, population density, and waste generation patterns.<sup>11</sup> These bye-laws can specify user fees for waste management services, define the quantum of spot fines for littering and non-segregation, and outline the local mechanisms for waste collection, processing, and disposal.<sup>11</sup> Effective enforcement of these local bye-laws is essential for achieving the objectives of the national rules.

## 6.4 Promoting Decentralized Waste Management Solutions

Encouraging and supporting decentralized waste management practices can significantly reduce the burden on centralized systems and lower transportation costs.<sup>5</sup> Promoting home composting for biodegradable waste, establishing community composting facilities, and setting up localized waste processing units can be effective strategies, especially for organic waste.<sup>5</sup> Local authorities can incentivize such practices by offering rebates on property tax or water bills, or by waiving waste collection charges for households and establishments that effectively manage their waste at the source.<sup>13</sup> Decentralized solutions can also foster greater community involvement and ownership in waste management.

## 6.5 Leveraging Technology and Innovation

Adopting and leveraging technology and innovation can significantly improve the efficiency and effectiveness of solid waste management systems.<sup>19</sup> This includes using optimized route planning and automated collection systems for waste collection, employing technologies like RFID tags and GPS tracking for monitoring waste streams and collection vehicles, and investing in advanced waste processing technologies such as waste-to-energy plants and advanced recycling methods.<sup>19</sup> Encouraging research and development in the field of waste management and supporting the adoption of innovative solutions can lead to more sustainable and environmentally

sound practices.

## 6.6 Enhancing Public Awareness and Promoting Behavioral Change

Sustained success in solid waste management hinges on fostering a sense of civic responsibility and promoting long-term behavioral change among citizens.<sup>11</sup> Comprehensive and continuous public awareness campaigns using various media platforms are essential to educate citizens about their responsibilities regarding waste segregation, the benefits of proper waste management for public health and the environment, and the consequences of non-compliance.<sup>11</sup> Utilizing incentives, such as recognizing and rewarding communities or individuals with good waste management practices, and implementing disincentives, such as penalties for littering and non-segregation, can further encourage positive behavioral change.<sup>13</sup> Engaging community leaders and local influencers can also play a crucial role in promoting responsible waste management behaviors.

## Key Requirements in SWM

Solid waste management includes all administrative, financial, legal, planning and engineering functions involved in the whole spectrum of solutions to problems of solid wastes [78]. In India about 40-80% of plastic waste is recycled compared to 10-15% in the developed nations of the world. However, the recovery rate of paper was 14% of the total paper consumption in 1991, while the global recovery rate was higher at 37% [79]. Sorting and recycling at generation source initiated at various places are encouraging activity [54, 80]. However, this is mainly done for valuable materials. Most recycling in low-income countries is by informal sectors for livelihood and import of material for recycling. However, in high-income countries, recycling technology is intensive and organized for long-term market interest [81]. It is scientifically proved and well established that the best practices for waste management can be achieved by well-known '3 Rs' principle. These '3 Rs' are the foundation of most waste minimization strategies. There is urgent need of public awareness and contribution by all the citizens on these aspects. 1) Reduce, the most uncontrollable phase in solid waste management is 'waste generation'. It is always advisable that to reduce the generation of solid waste at source 2) Reuse, Reutilization value of any item should be well known and should be identified well. In this connection NGO's and private sector can play a crucial role 3) Recycle, the process of transforming materials into secondary resources for manufacturing new products is known as recycling and ultimately saves energy a lot.

Better technology selection, trained manpower, public awareness, strengthening institutional mechanism, enforcement of law provision and participation of all stakeholders are the key elements in solid waste management of various types. Waste-to-Energy combustion (WTE) can also be the other option which a process of controlled combustion, using an enclosed device to thermally breakdown combustible solid waste to an ash residue that contains little or no combustible material and that produces, electricity, steam or other energy as a result [82]. It is known that as much as 95% of a product's environmental impact



occurs before its discarded [83]. Capacity building is enabling the stakeholders with awareness, skill, education and research to tackle any crisis in the target area [83].

## 7. Conclusion

The analysis of the legal provisions and fundamental duties related to solid waste management in India reveals a comprehensive framework, particularly with the Solid Waste Management Rules, 2016, and the proposed enhancements in the 2024. These regulations lay down detailed responsibilities for various stakeholders, from individual waste generators to government agencies, and emphasize the crucial role of source segregation and waste utilization. The constitutional mandate under Article 51A(g) reinforces the fundamental duty of every citizen to protect and improve the natural environment, highlighting the importance of civic responsibility in addressing the solid waste challenge.

However, significant challenges persist in the effective implementation and enforcement of these regulations. Inadequate infrastructure, financial constraints, low public awareness and participation, weak enforcement mechanisms, land availability issues, and the complexities of integrating the informal sector continue to hinder progress towards sustainable solid waste management. These challenges are multifaceted and interconnected, requiring concerted and coordinated efforts from all stakeholders.

Overall, it seems that the laws related with solid waste management in India talk about treatment, handling and other scientific techniques but whether implemented successfully or not is questionable and matter of discussion. On the other hand, the picture is also clear that very few laws deal with monitoring mechanisms on performance evaluation of the government authorities and concerned agencies. Entire SWM scenario requires immediate attention of the governments, civic organizations to stop environmental problems as increasingly seen in almost every city of the country. It is seen that the policies lack holistic approach towards waste management and hence need for the participative approaches of all sectors for effective outcome. Integrated solid waste management provides a framework and ideal guidelines for the treatment of wastes where sustainable waste management practices are followed and all states must include ideal aspects of SWM. There is need to generate sufficient funds at initial stage for the treatment of wastes and by following practice of 'wealth from wastes' in order to reduce economic burden on society. 'Polluter pays principle' for waste generators is one of the best options but should be strictly monitored as may lead to malpractice due to some soft provisions mentioned in the laws. Major drawback of the existing SWM rules 2000 is that they do not mention about the role played by informal sector workers. Direct promotion of incineration seeks to

displace and affect the waste pickers at large.

The traditional rights of waste collectors and recyclers need to be acknowledged and formalized to give better surety of the jobs. Though, the rules recommend recycling they do not say how to follow it or give any direction towards promoting recycling. Landfill sites have not yet been identified by many municipalities and in many, the landfill sites have exhausted. The local bodies do not have resources to acquire new land. In this regard progressive efforts and implementation based on Pune model need to be adopted by other states. There should be clear watch on unscientific disposal of solid wastes and strict fines and punishments against law flouters. The government must impose strict regulations and penalties to the outsiders who dump wastes in the country. To handle the overall matters of solid wastes, respective pollution control boards and the local agencies must come up with the stringent implementation of the existing laws and the rules therein. Considerable delay in notifying the sites for hazardous wastes and other solid wastes is also a problem in increasing severity of the problems, which requires solutions at the earliest.

Though the HWM Rules came into existence in 1989, it is seen that they are never implemented in a spirit of minimum cause to the environment, many things are ideal and remains on paper. On the other hand, environmental impact assessment should be strictly practiced in order to designate the future impact on the environmental components and selection of proper sites for treatment of hazardous wastes. Soft corner should not be given especially for the treatment of hazardous wastes in ecologically fragile areas. Perhaps not accepting the wastes from outsiders and step-by-step implementation of advanced means to treat the hazardous wastes could be best option to save valuable ecosystems of our country. As a final point, there is need to carry out changes in existing laws regarding disposal of e-wastes, hazardous wastes, plastic wastes etc. as per changing conditions of lifestyle patterns of the Indian society.

## A Way Forward

Moving forward, achieving sustainable and effective solid waste management in India necessitates a multi-faceted approach. This includes strengthening the legal framework through continuous review and refinement, ensuring strict and consistent enforcement of the rules at all levels, investing in adequate and modern waste management infrastructure, actively engaging citizens through comprehensive awareness campaigns and incentives, promoting decentralized and innovative waste management solutions, and effectively integrating the informal sector into the formal system. By addressing these critical areas, India can transform the challenge of solid waste management into an opportunity for a cleaner, healthier, and more sustainable future for all its citizens.

**Table 1:** Comparison of Key Provisions of SWM Rules 2016 and Draft SWM Rules 2024

Provision	SWM Rules 2016	Draft SWM Rules 2024
Scope	Extends beyond municipal areas to include urban agglomerations, census towns, notified industrial townships, etc.	Explicitly includes Rural Local Bodies and entities under their jurisdiction.
Waste Segregation	Mandatory 3-way source segregation (bio-degradable, non-biodegradable, domestic hazardous).	Mandatory 4-way source segregation (wet, dry, sanitary, special care).
Bulk Waste Generators (BWGs) Definition	Entities generating >100 kg/day or occupying >5000 sq.m.	Expanded to include entities with >5000 litres/day water consumption or occupying >20,000 sq.m built-up area.
Environmental Compensation	Penalties imposed by ULBs for non-compliance as per bye-laws.	Introduces Environmental Compensation levied by SPCB/District Collector/etc., on BWGs for various non-compliances.
Monitoring Mechanism for BWGs	Primarily ULB oversight.	Introduces a centralized MIS portal for BWG registration, compliance monitoring, and data tracking.
Agri-Residue Management	No specific provision.	Mandates Local Bodies to facilitate collection, storage, and utilization of agri-residue and prevent open burning.
Material Recovery Facilities (MRFs)	No specific deadlines for establishment.	Specifies deadlines for MRF setup based on city population (March 2025 to March 2028).

**Table 2:** Roles and Responsibilities of Key Stakeholders in Solid Waste Management

Stakeholder	Key Responsibilities
Waste Generators (Households)	Segregation of waste at source, proper storage, handing over segregated waste, payment of user fees.
Waste Generators (Commercial & Industrial)	Segregation, on-site processing of biodegradable waste (for BWGs), proper disposal of other waste.
Urban & Rural Local Bodies	Collection, transportation, processing, and disposal of waste; infrastructure development; integration of informal sector; implementing user fees and fines.
State & Central Government Agencies (MoEF&CC, CPCB, SPCBs)	Policy formulation, setting standards, providing guidelines, monitoring implementation, enforcement.
Manufacturers & Brand Owners	Implementing EPR for packaging waste, financial assistance to local authorities, public education on disposal.
Informal Waste Pickers	Sorting and collection of recyclable waste (to be integrated into formal systems).

**Table 3:** Challenges in Implementing Solid Waste Management Rules in India

Category of Challenge	Specific Challenges
Infrastructure	Lack of adequate facilities for collection, segregation, transportation, processing, and disposal; insufficient treatment capacity.
Financial	Limited budgets with local authorities; high costs of waste management services.
Social/Awareness	Low public awareness about segregation and responsible disposal; lack of community engagement.
Governance/Enforcement	Inconsistent and weak enforcement of SWM Rules; lack of stringent penalties.
Land Availability	Scarcity of suitable land for waste processing facilities and landfills; NIMBY syndrome.
Informal Sector Integration	Difficulties in providing formal recognition, social security, and better working conditions for waste pickers.
Regional Specificities	Unique challenges in hilly and rural areas due to terrain, dispersed populations, and limited resources.

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## Author Profile

**Dr. Arun Chauhan**, has worked in sanitation field as district coordinator since last 21 years

**Asmita Chauhan**, Advocate at District and Sessions Court Udaipur (LLB, LLM)