# Effusive-Constrictive Pericarditis with Echocardiography - Its Importance

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Abstract: <u>Background</u>: Effusive-constrictive pericarditis (ECP) is traditionally diagnosed by using the expensive and invasive technique of direct pressure measurements in the pericardial space and the right atrium. The aim of this study was to assess the diagnostic role of echocardiography in tuberculous ECP. <u>Methods</u>: Intrapericardial and right atrial pressures were measured pre- and post-pericardiocentesis, and right ventricular and left ventricular pressures were measured post-pericardiocentesis in patients with tuberculous pericardial effusions. Echocardiography was performed post-pericardiocentesis. Traditional, pressure-based diagnostic criteria were compared with post-pericardiocentesis systolic discordance and echocardiographic evidence of constriction. <u>Results</u>: Thirty-two patients with tuberculous pericardial disease were included. Sixteen had ventricular discordance (invasive-ly measured), 16 had ECP as measured by intrapericardial and right atrial invasive pressure measurements and 17 had ECP determined echocardiographically. The sensitivity and specificity of pressure-guided measurements (compared with discordance) for the diagnosis of ECP was 81% and the specificity 75%, while the positive and the negative predictive values were 76% and 80%, respectively. <u>Conclusion</u>: Echocardiography shows a better diagnostic performance than invasive, pressure-based measurements for the diagnosis of ECP when both these techniques are compared with the gold standard of invasively measured systolic discordance.

Keywords: Effusive-constrictive pericarditis · Tuberculosis · Echocardiography · Hydrostatic pressure measurements

# 1. Introduction

Effusive-constrictive pericarditis (ECP) is an entity on the continuum between effusive and constrictive pericarditis.1) It was first formally described by Hancock2) in 1971, and only four series have been reported since.2-5) The condition is generally believed to be rare, occurring in only 1.2% of patients with a pericardial effusion, but it is more frequently found in the context of tuberculous pericardial disease (52.9%).6) The diagnosis of ECP is made by employing direct, invasive, hydrostatic pressure recordings in the pericardial space and the right atrium both pre- and postpericardiocentesis.1)2)4)5) The invasive, and hence more hazardous, time-consuming and ex-pensive nature of direct pressure measurements makes this method of diagnosis unattractive. Echocardiography is an in-expensive, noninvasive, rapid and reliable imaging modality for diagnosing pericardial effusion, tamponade and pericardial constriction. However, hitherto its role in the diagnosis of ECP has never been systematically studied or compared with direct, pressure-guided measurements.7)8) The objective of this study was therefore to compare echocardiography with traditional, invasive pressure recordings for the diagnosis of ECP.

# 2. Methods

# **Patient Population**

Patients were prospectively enrolled after approval for the study had been obtained from the Health Research Ethics Committee. The patient allocation is summarized in Fig.1.

Inclusion criteria

Males and females ( $\geq 18$  years), having a suspected tuberculous pericardial effusion, confirmed on echocardiography within 24 hours of referral to the Cardiology Service of Hospital from 15 May 2020 to 31 july2022, with a pericardial effusion of  $\geq 10$  mm in size and amenable to percutaneous pericardiocentesis, willing to give written, informed consent to participate in the study, and finally diagnosed with tuberculous pericardial disease.

#### **Exclusion Criteria**

Any patient refusing to participate in the study, evidence that elevated right atrial pressure (RAP) could not be attributed exclusively to pericardial effusion, tamponade and/or constriction pre-pericardiocentesis, or to a constrictive effect post-pericardiocentesis (e.g., established pulmonary hypertension),an irregular ventricular rhythm complicating the interpretation of mitral inflow velocity variation (one of the pre-eminentechocardiographic signs of constrictive physiology), 9) clinical evidence of chronic obstructive pulmonary disease, which can cause significant variation in transmitral flow, and therefore а false-positive echocardiographic diagnosis of constriction,10) and lateral or septal early diastolic, mitral annular velocity of < 8 cm/s on pulsed.tissue.Doppler.11)

#### Pericardiocentesis and cardiac catheterization

All pericardiocenteses were performed via standard subxiphoid or apical access techniques in the cardiac catheterization laboratory. Lignocaine was subcutaneously infiltrated with a22-gauge needle, and the skin punctured with an 18-gaugeneedle to enter the pericardial space. A guide wire (0.97 mm) was inserted into the pericardial space via the needle, and its position confirmed fluoroscopically. The needle was removed, and a 6F sheath advanced over the guide wire. The latter was subsequently removed, and the

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sheath connected to a three-way stopcock and a 50 mL syringe. Aspiration of pericardial fluid was performed directly, firstly via the sheath, and there-after via a pigtail catheter inserted into the pericardial space through the sheath. Intrapericardial pressure (IPP) was measured preand post-pericardiocentesis via the pigtail catheter located in the pericardial space. RAP was measured before and after removal of pericardial fluid, with a pigtail catheter inserted into the right atrium via a right- or left-sided 6F femoral venous sheath. Right ventricular pressure (RVP) was recorded post-pericardiocentesis by advancing the pigtail catheter used for measuring the RAP into the right ventricle. Left ventricular pressure (LVP) was determined postpericardiocentesis by means of a pigtail catheter inserted via a right-sided 5F radialarterial sheath, or via a right- or leftsided 6F femoral arterials heath in the case of radial access not being obtained.

#### Echocardiograpic data acquisition

Prior to and immediately after pericardiocentesis, transthoracic echocardiography was performed in all patients using a commercially available echocardiographic system. Images were obtained by means of 1.5–3.6 MHzor 1.5–4.6 MHz transducers, adjusting depth and gain set-tings. M-mode, two-dimensional and Doppler data (with ECG) were acquired and digitally stored for off-line analysis.

#### Diagnostic criteria for tuberculosis

The diagnosis of a tuberculous effusion of the pericardium was based on: 1) acid-fast bacilli found on microscopy of peri-cardial fluid or tissue, or fluid or tissue from another site (inpatients presenting with a large pericardial effusion, clinically suspected to be tuberculous); 2) Mycobacterium tuberculosis cultured from pericardial fluid or tissue, or fluid or tissue from another site (in patients presenting with a large pericardial effusion, clinically suspected to be tuberculous); 3) caseating granulomas identified on biopsy of pericardium or tissue from another site (in patients presenting with a large pericardial effusion, clinically suspected to be tuberculous); and 4) a pericardial effusion within the context of a typical clinical picture of tuberculosis together with supportive biochemistry, i.e., pericardial fluid adenosine-deaminase (ADA) > 40 U/L and/orinterferon- $\gamma$ (INF- $\gamma$ )>50pg/mL.12)



**Figure 1:** Allocation of study subjects. ECP: effusiveconstrictive pericarditis, RAP: right atrial pressure, COPD: chronic obstructive pulmonary disease.

# Echocardiographic diagnostic criteria for ECP

Constrictive physiology was diagnosed echocardiographically with either:  $1) \ge 25\%$  respiratory variation of the peak Ewave velocity as determined by pulsed-wave Doppler (Fig. 2)at the level of the mitral leaflet tips in an apical four-chamber view; or 2) a clear, visually determined respiratorophasic shift of the interventricular septum towards the left ventricular cavity during inspiration in an apical four-chamber view.



**Figure 2:** A decrease of > 25% in the peak E-wave velocity (as determined by a pulsed-wave Doppler recording at the level of the mitral leaflet tips in an apical four-chamber view) on the first beat after inspiration. Exp: expiration, Insp: inspiration.

# Invasive diagnostic criteria for ECP

ECP was diagnosed invasively if there was failure of the RAP to decrease by  $\geq 50\%$  or to < 10 mm Hg after IPP was lowered to  $\leq 1$  mm Hg by pericardiocentesis.4) ECP was confirmed by the presence of systolic discordance in the simultaneous, postpericardiocentesis pressure traces. Discordance was considered present if there was a reciprocal change in the peak systolic RVP and LVP at maximum inspiration, as determined by aright ventricular index of 100%.13) Maximum inspiration was defined as the first ejection phase after the diastolic phase with the lowest, early LVP (Fig. 3).13) The right ventricular index was defined as

the percentage of maximum right ventricular systolic pressure attained during maximum inspiration (Fig. 4).13)

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**Figure 3:** Systolic discordance, with a reciprocal change in peak left ventricular and right ventricular pressures during maximum inspiration (the first ejection phase following the diastolic phase with the lowest, early left ventricular pressure). The right ventricular index is the percentage of the maximum, right ventricular systolic pressure (indicated by solid arrow) attained during maximum inspiration (defined as the first ejection phase following the diastolic phase with the lowest, early left ventricular pressure, i.e., the third beat). The right ventricular index is 100%, as the right ventricular pressure, defined as above, is at its maximum (compared with, e.g., the first beat–indicated by a dashed arrow). LV:

left ventricle, RV: right ventricle, Insp: inspiration, Exp: expiration.

#### **Statistical Analysis**

Continuous variables are presented as means and standard deviations (SDs) when normally distributed. Dichotomous data are presented as numbers and percentages. Independent t-tests were used to compare continuous variables. Sensitivity and specificity and their 95% confidence intervals (CIs) were calculated using EpiCalc 2000 for Microsoft Windows (Microsoft Corp., Redmond, WA, USA). Chi-square tests or Fisher's exact tests, where appropriate, were used to compare two independent proportions, while McNemar's test was used for paired, binary proportions, i.e., to compare the sensitivities and specificities of the different diagnostic tests. Data were analyzed by the Biostatistics Unit of, using SPSS version 22 (IBM Corp., Armonk, NY, USA) software. All statistical tests were two-sided. A p-value < 0.05 was considered statistically significant.



**Figure 4:** Right ventricular (RV) index (%) (mean and standard deviation) in those subjects with and without discordance, as measured by intraventricular pressures.

#### 3. Results

Thirty-two subjects with tuberculous pericardial disease were enrolled (mean age  $38 \pm 11$  SD years; range 20-61 years). Baseline characteristics are summarized in Table 1. Ten (31%) were female (mean age  $33 \pm 11$  SD years; range 20–55 years) and 22 (69%) were male (mean age 41  $\pm$  10 SD years; range 21-61 years). The median ADA was 67.3 U/L (interquartile range 53.7-107.4 U/L) and the median INF-y was 3201.0 pg/mL (interquartile range 2081.5-4008.5 pg/mL). The pericardial fluid of 11 patients was culturepositive, the pericardial fluid of 3 was microscopy-positive, and the sputum of 2 was culture- or microscopy-positive (Table 2). Twenty patients were HIV-positive (2 elected not be tested). Hemodynamic and echocardiographic to characteristics of individual patients are summarized in Table 3 and 4, respectively. Sixteen patients demonstrated ventricular discordance (invasively measured, with a right ventricular index of 100%) (Fig. 4), 16 had ECP diagnosed by invasive pressure measurements in the pericardium and right atrium, and 17 had ECP diagnosed bv echocardiography. The mean right ventricular index for the patients without ECP was  $83.7\% (\pm 7.9 \text{ SD}, \text{ range } 70-95\%)$ . The difference between those with ECP (right ventricular index of 100%) and those without (right ventricular index < 100%) was statistically significant (p < 0.001). The mean RAP pre-pericardiocentesis was 14.5 mm Hg (±6.3 SD, range 3-29 mm Hg), the mean IPP pre-pericardiocentesis was 7.75 mm Hg ( $\pm$  5.16 SD, range 0–19 mm Hg), the mean RAP post-pericardiocentesis was 10.7 mm Hg (± 5.9 SD, range 0-27 mm Hg) and the mean IPP postpericardiocentesis was 1.56 mm Hg ( $\pm$  2.27 SD, range 0–7 mmHg). The mean RAP pre-pericardiocentesis in those with ECP was 16.19 mm Hg ( $\pm$  5.91 SD, range 7–29 mm Hg) and the mean RAP pre-pericardiocentesis in those without ECP was 12.8 mm Hg ( $\pm$  6.34 SD, range 3–25 mm Hg) (p = 0.130). The sensitivity of pressure-guided measurements, compared with discordance, for the diagnosis of ECP was 56% (95% CI, 31-79%), and the specificity 56% (95% CI, 31-79%). The positive and negative predictive values were both 56% (95%CI, 31–79%). The sensitivity of echocardiography, compared with discordance, for the diagnosis of ECP was 81% (95% CI, 54-95%) and the specificity 75% (95% CI, 50-92%). The positive predictive value was 76% (95% CI, 50-92%), while the negative predictive value was 80% (95% CI, 51-95%). Statistical significance could not be shown for the difference between sensitivity and specificity of pressure-guided and echocardiographic methods for diagnosing ECP when compared with discordance (McNemar's test, p = 0.344 and 0.453, respectively).

 Table 1: Baseline characteristics

	Non-ECP*	ECP*	<i>p</i> -value	
	(n = 16)	(n = 16)	<i>p</i> -value	
Age (years)	$36.8 \pm 12.1$	$39.4 \pm 10.0$	0.508	
Gender (male)	9 (56)	13 (81)	0.127	
INF-γ (pg/mL)	$2610 \pm 1620$	$3116 \pm 1268$	0.339	
ADA (U/L)	$65.0\pm33.8$	$124.6\pm146.5$	0.132	
HIV seropositive	11 (69)	9 (56)	0.439	
Pre-RAP (mm Hg)	$12.8\pm6.3$	$16.2 \pm 5.9$	0.13	

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\*as diagnosed by discordance. Values are mean  $\pm$  standard deviation. ADA: adenosine-deaminase, ECP: effusiveconstrictive pericarditis, HIV: human immunodeficiency virus, INF- $\gamma$ : interferon- $\gamma$ , Pre-RAP: pre-pericardiocentesis right atrial pressure.

Table 2: I	Diagnostic	criteria	for tube	erculous	pericarditis
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Diagnostic criteria	Subjects, n (%)
Culture-positive pericardial fluid	11 (34.3)
Microscopy-positive pericardial fluid	3 (9.3)
Microscopy- or culture-positive sputum	2 (6.2)
$INF-\gamma > 50 \text{ pg/mL}$	28 (87.5)
ADA > 40  U/L (pericardial)	24 (75.0)

ADA: adenosine-deaminase,  $I\overline{NF-\gamma}$ : interferon- $\gamma$ 

#### 4. Discussion

This study provides evidence that echocardiography is superior to the hitherto-used RAP/pericardial pressure correlation methodology for the diagnosis of ECP. Even though echocardiography has been applied for diagnosing ECP,8) the fact that it has never been systematically studied8) or compared to either invasive measurements or a gold standard, has made its use in this context anecdotal, with uncertainty about its sensitivity and specificity for establishing the diagnosis of ECP. Furthermore, echocardiography is more widely available, safer, faster and inexpensive compared with invasive measurements, and therefore far more practical for everyday clinical use. This is particularly relevant in many countries and rural areas where tuberculous pericardial disease is prevalent and catheterization laboratories with appropriate invasive pressure-measuring facilities are not available. Compared with the published series, in which 36, 23, 13, and 15 patients with ECP were included, the current study (16 patients), is the third largest to date.2-4) The prevalence of a tuberculous etiology of ECP was higher (67%) in our cohort of patients than in any of the previously published studies. In the series published by Ntsekhe et al.,5) the prevalence of tuberculosis was 60%, while only one case of tuberculosis was identified by Sagristà-Sauleda et al.4) (no data are provided on the prevalence in the larger cohort of patients who underwent pericardiocentesis), and none was identified in the articles by Cameron et al.3) or Hancock.2) This clearly reflects the high prevalence of tuberculosis in the geographical area where the current study was conducted.14) In our study, the prevalence of ECP in patients with tuberculous pericardial effusions was 50%. This closely resembles the 52.9% reported in the study by Ntsekhe et al., 5) where hemodynamic and biochemical characteristics of tuberculous ECP were described in 36 patients. Patients with ECP were generally younger, and their pre-pericardiocentesis RAPs were higher than those without ECP.5) In the original series published by Hancock,2) the RAP was also found to be higher in patients with ECP, while the RAP per se was not compared between those with and without ECP in the remaining two series by Cameron et al.3) and Sagristà- Sauleda et al.4) In the current study we found no differences, either in age or the prepericardiocentesis RAP values between patients with and without ECP. Absolute values are obtained by direct hydrostatic pressure measurements in the pericardial space and the right atrium as opposed to the relative values obtained by systolic discordance recordings. Consequently, direct hydrostatic pressure measurements can be affected by a wide range of variables, e.g., ventricular volume, hydration status, ventricular and atrial compliance, hydrostatic level of the catheter tip, and pleural pressures.15)16) Absolute RAP determinations are therefore subject to multiple influences besides those arising from constrictive physiological origin, and they are therefore likely not reliable indicators of the presence of ECP.

		Table 5	: Hemody	/namic cn	aracteris	ucs	
Patient	pre-RAP	post-RAP	pre-PER	post-PER	ECP by	RV index	Discordance
number	(mm Hg)	(mm Hg)	(mm Hg)	(mm Hg)	TIVC	(%)	Discordance
1	8	6	6	4	No	100	Yes
2	29	27	9	4	No	100	Yes
3	10	7	10	0	Yes	74	No
4	15	9	4	0	Yes	100	Yes
5	9	5	7	0	Yes	100	Yes
6	7	5	1	0	Yes	100	Yes
7	4	1	7	0	No	90	No
8	15	11	14	7	No	75	No
9	17	12	10	0	Yes	90	No
10	13	13	5	5	No	100	Yes
11	4	4	1	0	No	81	No
12	14	3	15	0	No	95	No
13	15	14	1	0	Yes	100	Yes
14	21	14	1	1	Yes	100	Yes
15	7	7	1	0	Yes	87	No
16	15	11	3	0	Yes	87	No
17	25	17	10	0	Yes	87	No
18	19	11	18	0	Yes	92	No
19	3	3	0	0	Yes	72	No
20	18	15	3	6	No	84	No
21	15	13	10	3	No	100	Yes
22	15	15	11	6	No	83	No
23	7	0	5	0	No	70	No
24	17	16	10	0	Yes	100	Yes
25	17	15	7	0	Yes	100	Yes
26	18	11	12	3	No	94	No
27	19	14	19	0	Yes	100	Yes
28	11	10	9	0	Yes	100	Yes
29	22	13	12	0	No	100	Yes
30	18	14	15	4	No	100	Yes
31	23	21	6	3	No	100	Yes
32	8	6	6	4	No	100	Ves

Table 3: Hemodynamic characteristics

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pre-PER: pre-pericardiocentesis pericardial pressure, post-PER: post-pericardiocentesis pericardial pressure, post-RAP: post-pericardiocentesis right atrial pressure, pre-RAP: pre-pericardiocentesis right atrial pressure, RV: right ventricular, ECP: effusive-constrictive pericarditis, TIVC: traditional, invasive criteria

Table 4: Echocardiographic characteristics
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Patient	TMV	Septal	E' septal	Echocardiographic			
number	≥ 25%	shift	(cm/s)	diagnosis of ECP			
1	Yes	Yes	10	Yes			
2	Yes	No	14	Yes			
3	No	No	9	No			
-4	Yes	No	8	Yes			
5	No	No	13	No			
6	No	No	9	Yes			
7	No	No	7	No			
8	Yes	Yes	11	Yes			
9	No	No	11	No			
10	Yes	No	15	Yes			
11	Yes	Yes	10	Yes			
12	No	No	8	No			
13	No	No	9	No			
14	No	No	9	No			
15	No	No	9	No			
16	Yes	No	9	Yes			
17	Yes	No	10	Yes			
18	No	No	9	No			
19	No	No	8	No			
20	No	No	10	No			
21	Yes	Yes	11	Yes			
22	No	Yes	9	No			
23	No	No	10	No			
24	Yes	Yes	9	Yes			
25	Yes	Yes	13	Yes			
26	No	No	11	No			
27	Yes	Yes	14	Yes			
28	Yes	Yes	11	Yes			
29	No	Yes	10	Yes			
30	Yes	Yes	10	Yes			
31	Yes	No	13	Yes			
32	Yes	Yes	10	Yes			

E': early diastolic mitral annular velocity acquired with pulsed, tissue Doppler,

ECP: effusive-constrictive pericarditis, TMV: transmitral velocity variation

# 5. Limitations of the Study

This was a two-centre study, with only a single etiological cause of ECP included. However, since ECP is a pathophysiological phenomenon, not limited to a specific disease entity, the results are likely to be applicable to ECP resulting from other etiologies as well. Although twodimensional image quality is often suboptimal in constrictive pericarditis, the required echocardiographic measurements were successfully obtained in all study subjects (n = 32).17) While systolic discordance is best defined objectively using the systolic area index,18) the hemodynamic analysis software in our catheterization laboratory did not support digital area-under-the-curve measurements and also did not allow data exportation for integration of the area under the curve. Hence, discordance was quantified by calculation of the right ventricular index.13) In the original description of systolic discordance, micromanometers were used to measure intraventricular pressures.13) Although micromanometric determination of discordance has never been directly compared with hydrostatic measurements with fluid-filled catheters (used in this study due to cost factors), it is assumed to be comparable.13)

# 6. Conclusions and Future Perspective

ECP is a common manifestation of tuberculous pericardial disease, and has traditionally been diagnosed by invasive pressure measurements. The current study strongly supports the use of echocardiography for the diagnosis of ECP. It is more accurate than the pressure-based method when compared with the gold standard of constrictive physiology, discordance. i.e., invasive systolic Moreover, echocardiography is safer, faster, cheaper, and more readily available than facilities and expertise for performing invasive measurements. As measurement of IPP and RAP is not routinely performed as part of pericardiocentesis in many hospitals, echocardiographic diagnosis of ECP has the potential to increase the detection of this condition. 1) Furthermore, echocardiographic ECP diagnosis would simplify research, e.g., on whether or not ECP should be treated with systemic or intrapericardial steroids.As the current study was underpowered to detect a difference in the accuracy of echocardiography and pressure-guided diagnosis of ECP, the results should be confirmed in a larger cohort of patients to further define the role of this non-invasive technique.

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